

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P10441

1. Entity Name

DREYFUS BROKERAGE SERVICES, INC.

FILED
Feb 06, 2001 8:00 am
Secretary of State

02-06-2001 90257 045 ***158.75

0571107

Principal Place of Business ATTN: PAUL SARTORI 6500 WILSHIRE BLVD., 8TH FLOOR LOS ANGELES CA 90048	Mailing Address ATTN: PAUL SARTORI 6500 WILSHIRE BLVD., 8TH FLOOR LOS ANGELES CA 90048
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DO NOT WRITE IN THIS SPACE

2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc.	
City & State		City & State	
Zip	Country	Zip	Country

4. FEI Number 95-3037699	Applied For ☐ Not Applicable
5. Certificate of Status Desired ☒ \$8.75 Additional Fee Required	

6. Name and Address of Current Registered Agent CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION FL 33324	
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7. Name and Address of New Registered Agent	
Name	
Street Address (P.O. Box Number is Not Acceptable)	
City	FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. ☐
(See criteria on back)

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DP WALLACE, STEVEN 5757 WILSHIRE BLVD., S-3 LOS ANGELES CA ☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DT NACHMAN, JEFFREY N 401 NORTH MAPLE DRIVE BEVERLY HILLS CA 90210 ☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V TOLINDINI, ARTHUR A 401 NORTH MAPLE DRIVE BEVERLY HILLS CA 90210 ☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V VALLEJO, CHARLEEN 5757 WILSHIRE BLVD. S3 LOS ANGELES CA 90036 ☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DV BENNETT, NED 5757 WILSHIRE BLVD., SUITE 3 LOS ANGELES CA 90036 ☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V KRUGER, JAMES R 401 NORTH MAPLE DRIVE BEVERLY HILLS CA 90210 ☐ Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D/C David officer 200 Park Ave, 55 Floor New York, NY 10166 ☐ Change ☒ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D/P/T 6500 Wilshire Blvd, 8th Floor Los Angeles, CA 90048 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	6500 Wilshire Blvd, 8th Floor Los Angeles, CA 90048 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	6500 Wilshire Blvd, 9th Floor Los Angeles, CA 90048 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S Paul Sartori 6500 Wilshire Blvd, 8th Floor Los Angeles, CA 90048 ☐ Change ☒ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D/V 6500 Wilshire Blvd, 8th Floor Los Angeles, CA 90048 ☒ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 169.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Paul Sartori, Paul Sartori, Secretary

1/29/01

(310) 228-2704

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/00)

Dreyfus Brokerage Services, Inc.

Attachment
A0080506

List of Additional Directors & Officers

#P 10441

Keith Russell, Director

400 S. Hope St, 5th Floor
Los Angeles, CA 90071

Robert Rice, Vice President

401 N. Maple Drive
Beverly Hills, CA 90210

Lawrence Kash, Director

200 Park Ave, 55th Floor
New York, NY 10166

William Skidmore, Vice President

401 N. Maple Drive
Beverly Hills, CA 90210

Valdis Gailitis, Sr. Vice President

6500 Wilshire Blvd, 9th Floor
Los Angeles, CA 90048

Mark Drollinger, Vice President

401 N. Maple Drive
Beverly Hills, CA 90210

Alex Bryer, Sr. Vice President

401 N. Maple Drive
Beverly Hills, CA 90210

Edward Shapiro, Assistant Vice President

6500 Wilshire Blvd., 8th Floor
Los Angeles, CA 90048

Michael Demma, Vice President

6500 Wilshire Blvd, 8th Floor
Los Angeles, CA 90048

Gary Martino, Assistant Vice President

6500 Wilshire Blvd., 8th Floor
Los Angeles, CA 90048

Thad Bemont, Vice President

303 W. Madison, Suite 1140
Chicago, IL 60606

Robert Petrucci, Vice President

110 Wall Street, 5th Floor
New York, NY 10005

Eric Segall, Vice President

6500 Wilshire Blvd, 9th Floor
Los Angeles, CA 90048

Charles Knipe, Vice President

6500 Wilshire Blvd, 9th Floor
Los Angeles, CA 90048

Thomas Krug, Vice President

6500 Wilshire Blvd, 9th Floor
Los Angeles, CA 90048