

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Mar 03, 2003 8:00 am
Secretary of State

03-03-2003 90426 001 ***150.00

DOCUMENT # P10429

1. Entity Name
MML INVESTORS SERVICES, INC.



Principal Place of Business
**1414 MAIN ST
SPRINGFIELD MA 01144-1013
US**

Mailing Address
**1414 MAIN ST
SPRINGFIELD MA 01144-1013
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

04-2746212

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

☐ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33334**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00

After May 1, 2003 Fee will be \$550.00

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	VPT	☐ Delete
NAME	THOMSON, RONALD E.	
STREET ADDRESS	15 CIRCLE VIEW DR.	
CITY-ST-ZIP	HAMPDEN MA 01036	
TITLE	SC	☐ Delete
NAME	LEO, EILEEN D	
STREET ADDRESS	359 WESTMONT	
CITY-ST-ZIP	W HARTFORD CT 06117	
TITLE	SC	☐ Delete
NAME	LOMELI, ANN F	
STREET ADDRESS	38 WOODBRIDGE DR	
CITY-ST-ZIP	EAST LONGMEADOW MA 01028	
TITLE	D	☐ Delete
NAME	ALFANO, SUSAN	
STREET ADDRESS	22 RIDGEWOOD DR	
CITY-ST-ZIP	SOMERS CT 06071	
TITLE	P	☒ Delete
NAME	RICKSON, KENNETH, M	
STREET ADDRESS	3 WESTWOOD DR	
CITY-ST-ZIP	WILBRAHAM MA	
TITLE	VAS	☐ Delete
NAME	KERLEY, MICHAEL, L	
STREET ADDRESS	25 BRIARCLIFF DRIVE	
CITY-ST-ZIP	WILBRAHAM MA 01095	

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	☐ Change ☐ Addition
	**Please see attached list for additional Officers & Directors.			
				☐ Change ☐ Addition
				☐ Change ☐ Addition
				☐ Change ☐ Addition
				☐ Change ☐ Addition
	P	Gregory M. Williams	21 Chelsea Circle Tolland, CT 06084	☐ Change ☒ Addition
				☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

Ronald E. Thomson Vice President

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

2/21/03

Date

413-737-8400

Daytime Phone #

CR2E034 (10/02)

300,000 shares common stock, par value of \$1.00 per share, authorized; 4,891 shares of common stock currently issued & outstanding

Officer	Name	Residence Address	Business Address
President (10/28/02) Director (02/27/02) Investment Committee Member (11/20/02)	Gregory M. Williams	21 Chelsea Circle Tolland, CT 06084	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Chief Risk Officer (10/28/02) Director (10/25/2002)	Kenneth M. Rickson	3 Westwood Drive Wilbraham, MA 01095	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Vice President (05/12/97) Chief Legal Officer (1/1/87) Assistant Secretary (05/02/90) Assistant Clerk (05/10/2000)	Michael L. Kerley	25 Briar Cliff Drive Wilbraham, MA 01095	1295 State Street Springfield, MA 01111
Vice President/Products (07/22/02) Assistant Treasurer (05/10/2000)	Ronald E. Thomson	15 Circleview Drive Hampden, MA 01036	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Secretary/Clerk (2/28/98)	Ann F. Lornelli	38 Woodbridge Dr. East Longmeadow, MA 01028	1295 State Street Springfield, MA 01111
Second Vice President (08/06/01) and National Sales Director (08/06/01)	Jeffrey J. Losito	5281 Caminito Exquisito San Diego, CA 92130	5281 Caminito Exquisito San Diego, CA 92130
Second Vice President (01/01/99) and Deputy Chief Legal Officer (07/22/02) Assistant Secretary/ Assistant Clerk (05/10/2000)	Eileen D. Leo	359 Westmont West Hartford, CT 06117	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Second Vice President, Associate General Counsel and Assistant Secretary/Clerk (09/27/02)	Richard M. Howe	260 Amherst Road South Hadley, MA 01075	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Second Vice President (03/09/01)	Cynthia W. Hibert	55 Mill Road Longmeadow, MA 01106	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Chief Compliance Officer (03/09/01)	H. Bradford Hoffman	142 Oregon Street Springfield, MA 01118-1232	1295 State Street Springfield, MA 01111
Vice President, Finance & Operations (1/31/2003)	Daniel A. Raymond	19 Lauren Lane Southwick, MA 01077	One Monarch Place 1414 Main Street Springfield, MA 01144

Attachment

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P10429

300,000 shares common stock, par value of \$1.00 per share, authorized; 4,891 shares of common stock currently issued & outstanding

Officer	Name	Residence Address	Business Address
Chief Operations Officer (5/14/98)	James J. Furlong	26 Hallie Lane Somers, CT 06071	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Chief Privacy Officer (11/20/02)			
Chief Financial Officer & Treasurer (09/27/02)	Michele G. Lattanzio	17 Warren Road Wilbraham, MA 01095	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Assistant Treasurer (01/31/2003)	Frank A. Stellato	925 Main Street Wilbraham, MA 01095	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Chief Information Officer (05/10/00)	Daniel M. Colarusso	111 Cold Spring Lane Suffield, CT 06078	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Sr. Registered Options Principal (2/19/98)	David Deonarine	50 Kimberly Drive Enfield, CT 06082	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Registration Manager	Christine L. Swanson	Five Carriage Drive Canton, CT 06416	1295 State Street Springfield, MA 01111
Marilyn A. Sponzo (01/31/03)	Chief Compliance Officer	Eight Silver Brook Lane North Granby, CT 06060	1295 State Street Springfield, MA 01111
Compliance Registered Options Principal (10/28/02)	Russell E. Anderson	One Brookside Drive Wilbraham, MA 01095	One Monarch Place 1414 Main Street Springfield, MA 01144
Assistant Treasurer (11/28/01)	Kevin LaComb	39 Christian Hill Rd. Higganum, CT 06441	1295 State Street Springfield, MA 01111
Retirement Services Sales Supervisor (07/22/02)	Robert W. Kunning, Jr.	22 McIntosh Drive Wilbraham, MA 01095	1295 State Street Springfield, MA 01111
Agency Field Force Supervisor (5/12/97)	Burvin E. Pugh, Jr.	8 Eastwood Road Wilbraham, MA 01095	1295 State Street Springfield, MA 01111
Agency Vice President (08/06/01)	John P. McCloskey	6 Maplewood Drive Wilbraham, MA 01095	1295 State Street Springfield, MA 01111
Agency Vice President (07/22/02)	Timothy Flanagan, Jr.	11 Southwood Drive Wilbraham, MA 01095	1295 State Street Springfield, MA 01095

Attachment

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ID# 04-2746212
300,000 shares common stock, par value of \$1.00 per share, authorized; 4,891 shares of common stock currently issued & outstanding

Officer	Name	Residence Address	Business Address
Agency Vice President (07/22/02)	Patrick C. Pearson	15 Jessie Lane Westfield, MA 01095	1295 State Street Springfield, MA 01111
Large Corporate Markets Supervisor (4/29/99)	Anne Melissa Dowling	37 Sunset Farm Road West Hartford, CT 06107	140 Garden Street Hartford, CT 06154
Retirement Services Supervisor (08/06/01) and Retirement Services Group Supervisor Group Supervisor (07/22/02)	Shafali Desai	24 MeadowMark Drive East Longmeadow, MA 01028	1295 State Street Springfield, MA 01111
Registration Manager (08/06/01)	Jeffrey M. Sajdak	56 Valley View Drive Ludlow, MA 01056	1295 State Street Springfield, MA 01111
Director (02/13/97)	Susan A. Allano	22 Ridgewood Drive Somers, CT 06071	1295 State Street Springfield, MA 01111
Chairman of the Board of Directors (09/14/00)	Matthew E. Winter	21 Ledyard Road West Hartford, CT 06117	1295 State Street Springfield, MA 01111
Director (2/9/99)	Burvin E. Pugh, Jr.	8 Eastwood Road Wilbraham, MA 01095	1295 State Street Springfield, MA 01111
Director (1/1/00)	Howard E. Gunton	151 Steepleview Dr. Hampden, MA 01036	1295 State Street Springfield, MA 01111
Director (7/24/96)	Lawrence V. Burkett, Jr.	26 Crescent Circle Westfield, MA 01085	1295 State Street Springfield, MA 01111
Director (9/14/00)	Eusis Walcott	297 Ardley Road Longmeadow, MA 01106-2505	1295 State Street Springfield, MA 01111

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P10429