

2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT# P10429

FILED
Apr 18, 2002 8:00 AM
Secretary of State

Entity Name: MML INVESTORS SERVICES, INC.

Current Principal Place of Business:

1414 MAIN ST
SPRINGFIELD, MA 011441013 US

New Principal Place of Business:

Current Mailing Address:

1414 MAIN ST
SPRINGFIELD, MA 011441013 US

New Mailing Address:

FEI Number: 04-2746212

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33334 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so (X).

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: VPT () Delete
Name: THOMSON, RONALD E.
Address: 15 CIRCLE VIEW DR.
City-St-Zip: HAMPDEN, MA

Title: SC () Delete
Name: LEO, EILEEN D
Address: 359 WESTMONT
City-St-Zip: W HARTFORD, CT 06117

Title: AGCS () Delete
Name: SPONZO, MARILYN A
Address: 8 SILVERBROOK LANE
City-St-Zip: NORTH GRANBY, CT

Title: D () Delete
Name: ALFANO, SUSAN
Address: 22 RIDGEWOOD DR
City-St-Zip: SOMERS, CT 06071

Title: P () Delete
Name: RICKSON, KENNETH, M,
Address: 3 WESTWOOD DR
City-St-Zip: WILBRAHAM, MA

Title: VAS () Delete
Name: KERLEY, MICHAEL, L,
Address: 25 BRIARCLIFF DRIVE
City-St-Zip: WILBRAHAM, MA

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: VPT (X) Change () Addition
Name: THOMSON, RONALD E.
Address: 15 CIRCLE VIEW DR.
City-St-Zip: HAMPDEN, MA 01036

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: SC (X) Change () Addition
Name: LOMELI, ANN F
Address: 38 WOODBRIDGE DR
City-St-Zip: EAST LONGMEADOW, MA 01028

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: VAS (X) Change () Addition
Name: KERLEY, MICHAEL, L,
Address: 25 BRIARCLIFF DRIVE
City-St-Zip: WILBRAHAM, MA 01095

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: KENNETH M. RICKSON

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04/18/2002

Electronic Signature of Signing Officer or Director

Date

GREGORY WILLIAMS, DIRECTOR
21 CHELSEA CIRCLE
TOLLAND, CT 06084

EUSTIS WALCOTT, DIRECTOR
297 ARDSLEY RD.
LONGMEADOW, MA 01106-2505

LAWRENCE V. BURKETT, JR.
26 CRESCENT CIRCLE
WESTFIELD, MA 01085

HOWARD E. GUNTON, DIRECTOR
151 STEEPLEVIEW DR.
HAMPDEN, MA 01036

BURVIN E. PUGH, JR. DIRECTOR
8 EASTWOOD RD
WILBRAHAM, MA 01095

MATT WINTER, CHAIRMAN OF THE BOARD
21 LEDYARD RD.
WEST HARTFORD, CT 06117

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79 DEVON TERRACE
WESTFIELD, MA 01085

SHEFALI DESAI, RS ON TRACK SUPERVISOR
24 MEADOWLARK DR.
EAST LONGMEADOW, MA 01028

GREGORY RICKERT, RS SUPERVISOR
12 ASHLEY RD.
SOUTHBOROUGH, MA 01772

ANNE MELISSA DOWLING, LCM SUPERVISOR
37 SUNSET FARM RD
WEST HARTFORD, CT 06107

JOHN P. MCCLOSKEY, AGENCY VP
6 MAPLEWOOD DR.
WILBRAHAM, MA 01095

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WILBRAHAM, MA 01095

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6217 NW 32ND TERRACE
BOCA RATON, FL 33496

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NORTHAMPTON, MA 01060

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12 HAWTHORNE RD
WILBRAHAM, MA 01095

DAVID DEONARINE, SR. REG OPTIONS PRINC.
50 KIMBERLY DR
ENFIELD, CT 06082

DANIEL COLARUSSO, CHIEF INFORMATION OFFI
111 COLD SPRING LANE
SUFFIELD, CT 06078

MICHELLE LATTANZIO, CONTROLLER
17 WARREN RD.
WILBRAHAM, MA 01095

JAMES T. BAGLEY, TREASURER, CFO
299 HIGHLAND AVE.
WALLINGFORD, CT 06492

JAMES FURLONG, CHIEF OPERATIONS OFFICER
26 HALLIE LANE
SOMERS, CT 06071

H. BRADFORD HOFFMAN, CHIEF COMPLIANCE
142 OREGON ST
SPRINGFIELD, MA 01118-1232

CYNTHIA W. HIBERT, SECOND VP
55 MILL RD.
LONGMEADOW, MA 01106

JEFFREY J. LOSITO, SECOND VP
5281 CAMINITO EXQUISITO
SAN DIEGO, CA 92130