

2000 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 26, 2000 8:00 am
Secretary of State
 04-26-2000 90085 024 ***150.00

DOCUMENT # P10429

1. Entity Name
 MML Investors services, Inc.

Principal Place of Business **Mailing Address**

1414 Main Street 1414 Main Street
 Springfield, MA 01144 Springfield, MA 01144

2. Principal Place of Business **3. Mailing Address**

Suite, Apt. #, etc. Suite, Apt. #, etc.

City & State City & State

Zip Country Zip Country

4. FEI Number
04-2746212

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

Applied For
☐ **Not Applicable**

6. Name and Address of Current Registered Agent

CT Corporation system
 1200 South Pine Island Road
 Plantation, FL 3324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) _____ **DATE** _____

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. ☒ (See criteria on back)

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS		12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Delete Please see attached list.	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
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13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Kenneth M. Rickson **Kenneth M. Rickson, President**

 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR (800) 542-6767
 Date Daytime Phone #

CR2E034 (9/99)

MML Investors Services, Inc. Officers and Directors
ID# 04-2746212

<u>Officer</u>	<u>Name</u>	<u>Residence Address</u>	<u>Business Address</u>
President (02/14/90)	Kenneth M. Rickson	3 Westwood Drive Wilbraham, MA 01095	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Vice President (05/12/97)	Michael L. Kerley	25 Briar Cliff Drive Wilbraham, MA 01095	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Chief Legal Officer (1/1/87)			
Chief Compliance Officer (05/12/97)			
Assistant Secretary (05/02/90)			
Vice President (05/12/97)	Ronald E. Thomson	15 Circleview Drive Hampden, MA 01036	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Treasurer (02/14/90)			
Secretary/Clerk (2/28/98)	Ann F. Lomeli	68 Outlook Ave. W. Hartford, CT 06119	1295 State Street Springfield, MA 01111
Vice President (05/12/97)	John E. Forrest	46B Longmeadow Street Longmeadow, MA 01106	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
National Sales Director (12/9/85)			
Assistant Secretary (05/14/98)	Marilyn A. Sponzo	8 Silverbrook Lane North Granby, CT	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Second Vice President and Associate General Counsel (11/1/99)			
Second Vice President and Associate General Counsel (11/1/99)	Eileen D. Leo	359 Westmont West Hartford, CT 06117	One Monarch Place 1414 Main Street Springfield, MA 01144

*Attn. Hachm.
 COB74450
 #P10429*