

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

FILED

Apr 24 1997 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1997				FLORIDA DEPARTMENT OF STATE Sandra B. Mortham Secretary of State DIVISION OF CORPORATIONS	
DOCUMENT # P10429 (9)					
1. Corporation Name MML INVESTORS SERVICES, INC.					
Principal Place of Business 1414 MAIN ST SPRINGFIELD MA 01144-1013 US			Mailing Address 1414 MAIN ST SPRINGFIELD MA 01144-1008 US		
2. Principal Place of Business 21 Suite, Apt. #, etc. 22 City & State 23 Zip 24 Country		2a. Mailing Address 26 Suite, Apt. #, etc. 27 City & State 28 Zip 29 Country		3. Date Incorporated or Qualified 06/13/1986 3a. Date of Last Report 04/16/1996 4. FEI Number 04-2746212 5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required 6. Election Campaign Financing Trust Fund Contribution ☐ \$5.00 May Be Added to Fees 8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☐ Yes ☒ No	
9. Name and Address of Current Registered Agent C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION FL 33334				10. Name and Address of New Registered Agent 81 Name 82 Street Address (P.O. Box Number is Not Acceptable) 83 84 City FL 85 Zip Code	
11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.					
SIGNATURE Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) DATE					
12. OFFICERS AND DIRECTORS			13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12		
TITLE ☐ DELETE NAME T THOMSON, RONALD E. STREET ADDRESS 15 CIRCLE VIEW DR. CITY-ST-ZIP HAMPDEN MA			1.1 TITLE ☐ Change ☐ Addition 1.2 NAME 1.3 STREET ADDRESS 1.4 CITY-ST-ZIP		
TITLE ☐ DELETE NAME V FORREST, JOHN E. STREET ADDRESS 46B LONGMEADOW ST CITY-ST-ZIP LONGMEADOW MA			2.1 TITLE ☐ Change ☐ Addition 2.2 NAME 2.3 STREET ADDRESS 2.4 CITY-ST-ZIP		
TITLE ☒ DELETE NAME D ADORNATO, PAUL D STREET ADDRESS 82 PRYWOOD ROAD CITY-ST-ZIP LONGMEADOW MA			3.1 TITLE ☐ Change ☒ Addition 3.2 NAME John B. Davies 3.3 STREET ADDRESS 1259 Western Ave. 3.4 CITY-ST-ZIP Westfield, MA 01085		
TITLE ☐ DELETE NAME D CUOZZO, PETER, D STREET ADDRESS 107 PEACH TREE RD CITY-ST-ZIP GLASTONBURY CT			4.1 TITLE ☐ Change ☐ Addition 4.2 NAME 4.3 STREET ADDRESS 4.4 CITY-ST-ZIP		
TITLE ☐ DELETE NAME P RICKSON, KENNETH, M STREET ADDRESS 3 WESTWOOD DR CITY-ST-ZIP WILBRAHAM MA			5.1 TITLE ☐ Change ☐ Addition 5.2 NAME 5.3 STREET ADDRESS 5.4 CITY-ST-ZIP		
TITLE ☐ DELETE NAME VS KERLEY, MICHAEL, L STREET ADDRESS 25 BRIARCLIFF DRIVE CITY-ST-ZIP WILBRAHAM MA			6.1 TITLE ☒ Change ☐ Addition 6.2 NAME V/AS 6.3 STREET ADDRESS 6.4 CITY-ST-ZIP		
14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 12 if changed, or on an attachment with an address.					
** Additional Officers and Directors on attached list. SIGNATURE: <i>Kenneth M. Rickson</i> Kenneth M. Rickson, President 4/16/97 413-737-8400 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #					

CR2E034 (9/96)

**MML INVESTORS SERVICES, INC.
OFFICERS AND DIRECTORS**

OFFICER

Kenneth M. Rickson
SS# 012-38-0446
President

HOME ADDRESS

3 Westwood Drive
Wilbraham, MA 01095

BUSINESS ADDRESS

One Monarch Place
1414 Main Street
Springfield, MA 01144-1013

Michael L. Kerley
SS# 018-46-4125
Vice President,
Chief Legal Officer,
Chief Compliance Officer,
Assistant Secretary

25 Briar Cliff Drive
Wilbraham, MA 01095

One Monarch Place
1414 Main Street
Springfield, MA 01144-1013

Ronald E. Thomson
SS# 016-38-0904
Vice President,
Treasurer

15 Circleview Drive
Hampden, MA 01036

One Monarch Place
1414 Main Street
Springfield, MA 01144-1013

Thomas J. Finnegan, Jr.
SS# 097-28-5270
Secretary/Clerk

5 Wright Place
Wilbraham, MA 01095

1295 State Street
Springfield, MA 01111

Marilyn A. Sponzo
SS# 044-40-9001
Assistant Secretary

8 Silver Brook Lane
North Granby, CT 06060

One Monarch Place
1414 Main Street
Springfield, MA 01144-1013

John E. Forrest
SS# 206-44-4125
Vice President
National Sales Director

46B Longmeadow Street
Longmeadow, MA 01106

One Monarch Place
1414 Main Street
Springfield, MA 01144-1013

Eileen D. Leo
SS# 114-42-4510
Assistant Treasurer

359 Westmont
West Hartford, CT 06117

One Monarch Place
1414 Main Street
Springfield, MA 01103-1013

**MML INVESTORS SERVICES, INC.
OFFICERS AND DIRECTORS**

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<u>OFFICER</u>	<u>HOME ADDRESS</u>	<u>BUSINESS ADDRESS</u>
John O'Connor SS# 043-52-8132 Sr. Registered Options Principal	3 Oakwood Drive S. Windsor, CT 06074	One Monarch Place 1414 Main Street Springfield, MA 01144-1013
Nicholas J. Orphan SS# 400-66-9142 Regional Supervisor/South	7420 Princeton Trace Atlanta, GA 30328	245 Peach Tree Center Ave. Suite 2330 Atlanta, GA 30303
William L. Tindall SS# 338-36-7773 Chief Pension Management Field Force Supervisor	312 Ardsley Road Longmeadow, MA 01106	1295 State Street Springfield, MA 01111
Robert W. Kuming SS# 495-46-6504 Regional Pension Management Supervisor (East/Central)	22 McIntosh Drive Wilbraham, MA 01095	1295 State Street Springfield, MA 01111
Peter J. Zummo SS# 066-64-7633 Regional Pension Management Supervisor (South/West)	8 Sumerset Lane Simsbury, CT 06070	1295 State Street Springfield, MA 01111
Bruce Lukowiak SS# 147-32-2389 Regional Supervisor/West	7330 East Mary Sharon Dr. Scottsdale, AZ 85262	6263 North Scottsdale Rd. Suite 222 Scottsdale, AZ 85250
Robert Burke SS# 226-86-8658 Regional Supervisor/Central	585 Terrace Oak Drive Roswell, GA 30075 (In process of moving)	One Lincoln Centre Suite 1490 Oak Brook Terrace, IL 60181-4271
John P. McCloskey SS# 139-48-1744 Regional Supervisor/East	6 Maplewood Drive Wilbraham, MA 01095	1295 State Street Springfield, MA 01144

**MML INVESTORS SERVICES, INC.
OFFICERS AND DIRECTORS**

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DIRECTOR

Lawrence V. Burkett, Jr.
SS# 266-72-3755
Chairman of the Board
of Directors

26 Crescent Circle
Westfield, MA 01085

1295 State Street
Springfield, MA 01111

Peter Cuozzo, CLU, ChFC
SS# 042-42-4175
Director

107 Peach Tree Road
Glastonbury, CT 06033

1295 State Street
Springfield, MA 01111

John B. Davies
SS# 572-80-1286
Director

1259 Western Ave.
Westfield, MA 01085

1295 State Street
Springfield, MA 01111

Daniel J. Fitzgerald
SS# 023-36-6928
Director

8 Ward Drive
Wilbraham, MA 01095

1295 State Street
Springfield, MA 01111

Maureen R. Ford
SS# 128-38-5660
Director

79 Anvil Drive
Avon, CT 06001

140 Garden Street
Hartford, CT 01654

Gary T. Huffman
SS# 403-80-0362
Director

Whitman Pond
Simsbury, CT 06070

1295 State Street
Springfield, MA 01111

Isadore Jermyn, FIA, ASA
SS# 049-70-1331
Director

18 Duxbury Lane
Longmeadow, MA 01106

1295 State Street
Springfield, MA 01111

Susan Alfano
SS# 011-46-1215
Director

22 Ridgewood Drive
Somers, CT 06071

1295 State Street
Springfield, MA 01111

Anne Melissa Dowling
SS# 040-56-5627
Director

37 Sunset Farm Road
West Hartford, CT 06107

140 Garden Street
Hartford, CT 01654