

# 2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Apr 30, 2003 8:00 am**  
**Secretary of State**

04-30-2003 90131 013 \*\*\*150.00

**DOCUMENT # P10371**

1. Entity Name  
**BEAR, STEARNS & CO., INC.**



Principal Place of Business  
**383 MADISON AVENUE  
NEW YORK NY 10179  
US**

Mailing Address  
**115 S. JEFFERSON RD  
WHIPPANY NJ 07981  
US**

**11029538**



2. Principal Place of Business  
**383 Madison Avenue**

3. Mailing Address  
**115 S. Jefferson Rd. Bldg C2**

Suite, Apt. #, etc.

Suite, Apt. #, etc.

☐ CHECK HERE IF MAKING CHANGES

City & State  
**New York, NY**

City & State  
**Whippany, NJ**

4. FEI Number **13-3299429**

Applied For  
Not Applicable

Zip Country  
**10179-0024 U.S.A.**

Zip Country  
**07981 U.S.A.**

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND RD.  
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE \_\_\_\_\_

**FILE NOW!!! FEE IS \$150.00  
After May 1, 2003 Fee will be \$550.00  
Make Check Payable to Florida Department of State**

9. Election Campaign Financing ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete  
NAME **D GREENBERG, ALAN C.**  
STREET ADDRESS **383 MADISON AVE**  
CITY-ST-ZIP **NEW YORK NY 10179**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**PLEASE SEE ATTACHED LIST FOR  
ADDITIONAL OFFICERS AND DIRECTORS**

TITLE ☐ Delete  
NAME **CEOC CAYNE, JAMES E**  
STREET ADDRESS **383 MADISON AVE**  
CITY-ST-ZIP **NEW YORK NY 10179**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **COOD GEISMAR, BRUCE E**  
STREET ADDRESS **ONE METROTECH CENTER NORTH**  
CITY-ST-ZIP **BROOKLYN NY 11201-3859**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☒ Delete  
NAME **D KNIGHT, JOHN L**  
STREET ADDRESS **ONE CANADA SQUARE**  
CITY-ST-ZIP **LONDON, ENGLAND E14 5AD**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **D BOVIN, DENIS A**  
STREET ADDRESS **383 MADISON AVE**  
CITY-ST-ZIP **NEW YORK NY 10179**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **EVPD LEHMAN, MARK E**  
STREET ADDRESS **383 MADISON AVE**  
CITY-ST-ZIP **NEW YORK NY 10179**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

**SIGNATURE**  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**Kenneth L. Edlow,**  
Secretary

04/ // /03

(212)272-2000

Date Daytime Phone #

CR2E034 (10/02)

**BEAR, STEARNS & CO. INC.****Officers and Directors**

April 10, 2003

*Attachment**P10371-11069538*

NAME	OFFICER TITLE	BUSINESS ADDRESS
James E. Cayne	Chairman of The Board / Chief Executive Officer / <b>Director</b>	A
Alan D. Schwartz	Co-President / Co-Chief Operating Officer / <b>Director</b>	A
Warren J. Spector	Co-President / Co-Chief Operating Officer / <b>Director</b>	A
Bruce E. Geismar	Chief Operations Officer / <b>Director</b>	B
Kenneth L. Edlow	Secretary	A
Michael Minikes	Treasurer / <b>Director</b>	A
Mark E. Lehman	Executive Vice President / General Counsel / Chief Legal Officer / <b>Director</b>	A
Samuel L. Molinaro Jr.	Executive Vice President / Chief Financial Officer / <b>Director</b>	A
Fares D. Noujaim	Vice-Chairman of The Board / <b>Director</b>	A
E. John Rosenwald Jr.	Vice-Chairman of The Board / <b>Director</b>	A
Michael L. Tarnopol	Vice-Chairman of The Board / <b>Director</b>	A
Michael J. Abatemarco	Controller	B
James K. Casper	Assistant Secretary	A
Jeffrey M. Lipman	Assistant Secretary	A
Marc H. Feuer	Assistant Treasurer	A
Robert J. Schwartz	Assistant Treasurer	A
Steven L. Begleiter	<b>Director</b>	A
Kathryn R. Booth	<b>Director</b>	A
Denis A. Bovin	<b>Director</b>	A
Peter C. Cherasia	<b>Director</b>	A
Ralph R. Cioffi	<b>Director</b>	A
Barry J. Cohen	<b>Director</b>	A
Steven M. Dantus	<b>Director</b>	A
Wendy L. de Monchaux	<b>Director</b>	A
Robert E. Foran	<b>Director</b>	A
Alan C. Greenberg	<b>Director</b>	A
Daniel L. Keating	<b>Director</b>	A
David A. Liebowitz	<b>Director</b>	A
Richard R. Lindsey	<b>Director</b>	B
Bruce M. Lisman	<b>Director</b>	A
Roland N. Livney	<b>Director</b>	A
Jeffrey Mayer	<b>Director</b>	A
Steven D. Meyer	<b>Director</b>	A
Thomas F. Marano	<b>Director</b>	A
Craig Overlander	<b>Director</b>	A
Aldo Parcesepe	<b>Director</b>	A
Robert M. Steinberg	<b>Director</b>	A
Donald W. Tang	<b>Director</b>	C
Michael J. Urfirer	<b>Director</b>	A
Jeffrey H. Urwin	<b>Director</b>	A
Eli Wachtel	<b>Director</b>	A

A:  
383 Madison Avenue,  
New York, NY 10179

B:  
One Metrotech Center North  
Brooklyn, NY 11201-3859

C:  
One Sansome Street, 39<sup>th</sup> Fl.  
San Francisco, CA 94104