

**2007 FOR PROFIT CORPORATION
ANNUAL REPORT**

FILED
Apr 24, 2007 8:00 am
Secretary of State

04-24-2007 90011 049 ***150.00

DOCUMENT # P10371

1. Entity Name

BEAR, STEARNS & CO., INC.



Principal Place of Business

383 MADISON AVENUE
NEW YORK, NY 10179 US

Mailing Address

115 S. JEFFERSON RD
BLDG C2
WHIPPANY, NJ 07981 US

40079070



03232007 No Chg-P CR2E034 (11/05)

DO NOT WRITE IN THIS SPACE

4. FEI Number
13-3299429

Applied For
Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND RD.
PLANTATION, FL 33324

**DO NOT WRITE
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2007 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE	D
NAME	GREENBERG, ALAN C.
STREET ADDRESS	383 MADISON AVE
CITY - ST - ZIP	NEW YORK, NY 10179
TITLE	CECD
NAME	CAYNE, JAMES E
STREET ADDRESS	383 MADISON AVE
CITY - ST - ZIP	NEW YORK, NY 101790024
TITLE	D
NAME	GEISMAR, BRUCE E
STREET ADDRESS	ONE METROTECH CENTER NORTH
CITY - ST - ZIP	BROOKLYN, NY 112013859
TITLE	D
NAME	BOVIN, DENIS A
STREET ADDRESS	383 MADISON AVE
CITY - ST - ZIP	NEW YORK, NY 10179
TITLE	EVCF
NAME	MOLINARO, SAMUEL L JR
STREET ADDRESS	383 MADISON AVE
CITY - ST - ZIP	NEW YORK, NY 10179
TITLE	T
NAME	UPTON, ROBERT N. M
STREET ADDRESS	383 MADISON AVE
CITY - ST - ZIP	NEW YORK, NY 101790024

**DO NOT WRITE
IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Kenneth L. Edlow

Kenneth L. Edlow, Secretary

04/12/2007 212-272-2000

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

BEAR, STEARNS & CO. INC.**Officers and Directors**ATTACHMENT 40079076
#P10371

NAME	OFFICER TITLE	CORP. ADDRESS
James E. Cayne	Chairman of The Board, Chief Executive Officer, Director	A
Alan D. Schwartz	Co-President, Co-Chief Operating Officer, Director	A
Warren J. Spector	Co-President, Co-Chief Operating Officer, Director	A
Kenneth L. Edlow	Secretary	D
Robert N. M. Upton	Treasurer	A
Samuel L. Molinaro Jr.	Executive Vice President, Chief Financial Officer, Director	A
Thomas M. Flexner	Vice-Chairman of The Board, Director	A
Fares D. Noajaim	Vice-Chairman of The Board, Director	A
E. John Rosenwald Jr.	Vice-Chairman of The Board, Director	A
Donald W. Tang	Vice-Chairman of The Board, Director	C
Edward Almeida	Chief Operations Officer	
Michael S. Solender	General Counsel	A
Jeffrey M. Lipman	Assistant Secretary	D
Jeffrey M. Farber	Controller, Assistant Secretary	A
Salvatore V. Di Maggio	Assistant Treasurer	A
Marc H. Feuer	Assistant Treasurer	A
Robert J. Schwartz	Assistant Treasurer	A
John F. Stacconi	Assistant Treasurer	A
Michael Alix	Director	A
Steven L. Begleiter	Director	A
Kathryn R. Booth	Director	A
Denis A. Bovin	Director	A
Peter C. Cherasia	Director	A
Steven M. Dantus	Director	A
Wendy L. de Monchaux	Director	A
James F. Egan	Director	A
Robert E. Foran	Director	A
Bruce E. Geismar	Director	B
David H. Glaser	Director	A
Alan C. Greenberg	Chairman of the Executive Committee, Director	A
Gregory A. Hanley	Director	A
Daniel L. Keating	Director	A
Bruce M. Lisman	Director	A
Roland N. Livney	Director	A
Thomas F. Marano	Director	A
Jeffrey Mayer	Director	A
Steven D. Meyer	Director	A
Michael Minikes	Director	A
Michael Nierenberg	Director	A
Craig M. Overlander	Director	A
Michel Péretié	Director	E
Robert M. Steinberg	Director	A
Michael J. Urfirer	Director	A
Jeffrey H. Urwin	Director	A
Jeffrey L. Verschleiser	Director	A
Eli Wachtel	Director	A
Robert Janukowicz	Tax Director	A

A:
383 Madison Avenue
New York, NY
10179-0024

B:
One Metrotech Center N.
Brooklyn, NY
11201-3859

C:
One Sansome St. 39th Fl.
San Francisco, CA
94104

D:
320 Park Avenue,
New York, NY
10022

E:
One Canada Sq.
London, E14 5AD
England