

2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P10371

1. Entity Name

BEAR, STEARNS & CO., INC.

FILED
May 06, 2002 8:00 am
Secretary of State

05-06-2002 90143 038 ***150.00

Principal Place of Business

245 PARK AVE.
NEW YORK NY 10167
US

Mailing Address

115 S. JEFFERSON RD
WHIPPANY NJ 07981
US

2. Principal Place of Business

383 Madison Avenue

3. Mailing Address

Suite, Apt. #, etc.

City & State

New York, NY

City & State

4. FEI Number

13-3299429

Applied For

Not Applicable

Zip
10179

Country

Zip

Country

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND RD.
PLANTATION FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☒

FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	COBD GREENBERG, ALAN C. 245 PARK AVE NEW YORK NY 10167	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEPD CAYNE, JAMES E. 245 PARK AVE NEW YORK NY 10167	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	COO GEISMAR, BRUCE E 245 PARK AVE NEW YORK NY 10167	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D KNIGHT, JOHN L ONE CANADA SQUARE LONDON, ENGLAND E14 5AD	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D BOVIN, DENIS A 245 PARK AVENUE NEW YORK NY 10167	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVPD LEHMAN, MARK E 245 PARK AVENUE NEW YORK NY 10167	<input type="checkbox"/> Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
SEE ATTACHED LIST	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
COOD	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Mark E. Lehman
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

04/26/02

Date

(212) 272-2000

Daytime Phone #

CR2E034 (9/01)

ATTACH # P10371 / 648012
BEAR, STEARNS & CO. INC.

Officers and Directors

April 26, 2002

NAME		OFFICE LOCATION
James E. Cayne	Chairman of The Board/Chief Executive Officer/ Director	A
Alan D. Schwartz	Co-President/Co-Chief Operating Officer/ Director	A
Warren J. Spector	Co-President/Co-Chief Operating Officer/ Director	A
Bruce E. Geismar	Chief Operations Officer/ Director	B
Kenneth L. Edlow	Secretary	A
Michael Minikes	Treasurer/ Director	A
Mark E. Lehman	Executive Vice President/ General Counsel/Chief Legal Officer/ Director	A
Samuel L. Molinaro Jr.	Chief Financial Officer/ Senior Vice President-Finance/ Director	A
E. John Rosenwald Jr.	Vice-Chairman of the Board/ Director	A
Michael L. Tarnopol	Vice-Chairman of the Board/ Director	A
Michael J. Abatemarco	Controller	B
Allen B. Holeman	Chief Compliance Officer	E
Jeffrey M. Lipman	Assistant Secretary	A
Marc H. Feuer	Assistant Treasurer	A
Robert J. Schwartz	Assistant Treasurer	A
Denis A. Bovin	Director	A
Peter C. Cherasia	Director	A
Ralph R. Cioffi	Director	A
Barry J. Cohen	Director	A
Wendy L. de Monchaux	Director	A
Alan C. Greenberg	Director	A
Daniel L. Keating	Director	A
John L. Knight	Director	C
David A. Liebowitz	Director	A
Richard R. Lindsey	Director	B
Bruce M. Lisman	Director	A
Roland N. Livney	Director	A
Jeffrey Mayer	Director	A
Fares D. Noujaim	Director	A
Craig Overlander	Director	A
Stephen E. Raphael	Director	A
Robert M. Steinberg	Director	A
Donald W. Tang	Director	D
Michael J. Urfirer	Director	A
Eli Wachtel	Director	A
Uzi Zucker	Director	A

Legend:

A:
383 Madison Avenue;
New York, NY 10179
C:
One Canada Square
London, England E14 5AD

B:
One Metrotech Center North
Brooklyn, NY 11201-3859
D:
One Sansome Street, 39th Fl.
San Francisco, CA 94104

E:

115 S. Jefferson Rd. Bldg. C
Whippany, NJ 07981