

FILED
May 22, 2001 8:00 am
Secretary of State

05-22-2001 90009 044 ***150.00

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P10371

1. Entity Name

Bear, Stearns & Co. Inc.

Principal Place of Business

Mailing Address

245 Park Avenue,
New York, NY 10167

115 S. Jefferson Rd.,
Whippany, NJ 07981

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3299429

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT Corporation System
1200 South Pine Island Rd.,
Plantation, FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent Signature required when registering)

DATE

9. This corporation is eligible to satisfy its intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!! - FEB 16 \$150.00

After MAY 1, 2001 Fee will be \$350.00

Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
CEOD
Greenberg, Alan C.
245 Park Avenue,
New York, NY 10167 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
COB,D
Greenberg, Alan C.
245 Park Avenue
New York, NY 10167 ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
DP
Cayne, James E.
245 Park Avenue
New York, NY 10167 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
CEO,P,D
Cayne, James E
245 Park Avenue
New York, NY 10167 ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
COO
Montgoris, William J.
245 Park Avenue
New York, NY 10167 ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
Chief Operations Officer
Geismar, Bruce E.
245 Park Avenue
New York, NY 10167 ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
D
Knight, John L.
One Canada Sqaure
London, England E14 5AD ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
PLEASE SEE ATTACHED LIST ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
Secretary
Edlow, Kenneth L.
245 Park Avenue
New York, NY 10167 ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
Treasurer
Minikes, Michael
245 Park Avenue
New York, NY 10167 ☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(c), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address with all other like empowered.

SIGNATURE:

Mark E. Lehman

04/26/01

CR2E034 (11/00)

Attachment Doc# P10371

BEAR, STEARNS & CO. INC.

0010307

Officers and Directors

April 18, 2001

NAME		OFFICE LOCATION
Alan C. Greenberg	Chairman of the Board Director	A
James E. Cayne	President/ Chief Executive Officer/ Director	A
Bruce E. Geismar	Chief Operations Officer/ Director	B
Michaël Minikes	Treasurer/ Director	A
Mark E. Lehman	Executive Vice President/ General Counsel/Chief Legal Officer/ Director	A
Alan D. Schwartz	Executive Vice President/ Director	A
Warren J. Spector	Executive Vice President/ Director	A
Samuel L. Molinaro Jr.	Chief Financial Officer/ Senior Vice President-Finance/ Director	A
E. John Rosenwald Jr.	Vice-Chairman of the Board/ Director	A
Michael L. Tarnopol	Vice-Chairman of the Board/ Director	A
Michael Abatemarco	Controller	B
Kenneth L. Edlow	Secretary	A
Peter C. Cherasia	Chief Information Officer / Director	A
Denis A. Bovin	Director	A
Ralph R. Cioffi	Director	A
Barry J. Cohen	Director	A
Wendy L. de Monchaux	Director	A
Daniel L. Keating	Director	A
John L. Knight	Director	C
David A. Liebowitz	Director	A
Richard R. Lindsey	Director	B
Bruce M. Lisman	Director	A
Roland N. Livney	Director	A
Jeffrey Mayer	Director	A
Donald R. Mullen	Director	A
Fares D. Noujaim	Director	A
Craig Overlander	Director	A
Stephen E. Raphael	Director	A
Robert M. Steinberg	Director	A
Donald W. Tang	Director	D
Michael J. Urfirer	Director	A
Eli Wachtel	Director	A
Uzi Zucker	Director	A

A: 245 Park Avenue,
New York, NY 10167
C: One Canada Square
London, England E14 5AD

B: One Metrotech Center North
Brooklyn, NY 11201-3859
D: Three First National Plaza
Chicago, IL 60602