

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Mar 06, 2003 8:00 am
Secretary of State

03-06-2003 90104 049 ***150.00

DOCUMENT # P10071

1. Entity Name
CATERPILLAR INC.



Principal Place of Business
**100 N.E. ADAMS STREET
PEORIA IL 61629-7310
US**

Mailing Address
**100 N.E. ADAMS STREET
PEORIA IL 61629-7310
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **37-0602744**

Applied For
Not Applicable

5. Certificate of Status Desired ☐ **\$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
8751 WEST BROWARD BLVD.
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE _____

**FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State**

9. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00** May Be Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	D AFFINITO, LILYAN H 599 LEXINGTON AVE, 23RD FLOOR NEW YORK NY	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D GOODE, DAVID R THREE COMMERCIAL PLACE NORFORK VA	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DC BARTON, GLEN A 100 NE ADAMS STREET PEORIA IL	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D GORTER, JAMES P 200 W.MADISON,ST 3510 CHICAGO IL	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D GORTER, JAMES P 200 W. MADISON, ST 3510 CHICAGO IL	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D BLOUNT, W. F LEVEL 15,231 ELIZABETH ST. SYDNEY NS	☐ Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition

PLEASE SEE ATTACHED LISTING

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Laurie J. Huxtable Huxtable-Asst. Secretary

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

2/28/03

CR2E034 (10/02)

June 1, 2002

CATERPILLAR INC. OFFICERS

<u>Name</u>	<u>Office</u>	<u>Business</u>
Glen A. Barton	Chairman of the Board and Chief Executive Officer	Caterpillar Inc. Peoria, IL 61629-7210
Vito H. Baumgartner	Group President	Caterpillar SARL 76, route de Frontenex P. O. Box 6000 CH - 1211 Geneva 6 Switzerland
Douglas R. Oberhelman	Group President	Caterpillar Inc. Peoria, IL 61629-7220
James W. Owens	Group President	Caterpillar Inc. Peoria, IL 61629-7230
Gerald L. Shaheen	Group President	Caterpillar Inc. Peoria, IL 61629-7240
Richard L. Thompson	Group President	Caterpillar Inc. Peoria, IL 61629-7250
Ali Bahaj	Vice President	Caterpillar Inc. Peoria, IL 61629-3305
Sidney C. Banwart	Vice President	Caterpillar Inc. Peoria, IL 61629-6415
Michael J. Baunton	Vice President	Perkins Engine Company Limited Frank Perkins Way Eastfield Peterborough PE1 5NA England
James S. Beard	Vice President	Caterpillar Financial Services Corp. 2120 West End Avenue Nashville, TN 37203-0001

Attachment

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<u>Name</u>	<u>Office</u>	<u>Business</u>
Richard A. Benson	Vice President	Caterpillar Inc. Peoria, IL 61629-2495
James B. Buda	Vice President, General Counsel and Secretary	Caterpillar Inc. Peoria, IL 61629-7310
Rodney L. Bussell	Vice President	Caterpillar Inc. P. O. Box 1430 Lafayette, IN 62525-1819
Thomas A. Gales	Vice President	Caterpillar Inc. 701 Waterford Way, Suite 200 Miami, FL 33126
Stephen A. Gosselin	Vice President	Caterpillar Inc. Peoria, IL 61629-1205
Donald M. Ings	Vice President	Caterpillar Inc. 954 NC 42 East Clayton, NC 27520
Richard P. Lavin	Vice President	Caterpillar Inc. Peoria, IL 61629-4190
Stuart L. Levenick	Vice President	Shin Caterpillar Mitsubishi Ltd. SBS Tower, 10 th Floor 4-10-1, Yoga Setagaya-ku, Tokyo 158-8530 Japan
Robert R. Macier	Vice President	Caterpillar Inc. P. O. Box 504 Joliet, IL 60434-0504
David A. McKie	Vice President	Caterpillar Inc. Peoria, IL 61629-4490
F. Lynn McPheeters	Vice President and Chief Financial Officer	Caterpillar Inc. Peoria, IL 61629-5390
Daniel M. Murphy	Vice President	Caterpillar Inc. P. O. Box 610 Mossville, IL 61552-0610

<u>Name</u>	<u>Office</u>	<u>Business</u>
Gerald Palmer	Vice President	Caterpillar Inc. P. O. Box 348 Aurora, IL 60507
James J. Parker	Vice President	Caterpillar Inc. P. O. Box 610 Mossville, IL 61552-0610
Edward J. Rapp	Vice President	Caterpillar SARL 76, route de Frontenex P. O. Box 6000 CH-1211 Geneva 6 Switzerland
Alan J. Rassi	Vice President	Caterpillar Inc. 901 W. Washington CV4110 East Peoria, IL 61630
William F. Springer	Vice President	Caterpillar Inc. 501 S. W. Jefferson Avenue Peoria, IL 61629-2100
Gary A. Stroup	Vice President	Caterpillar Inc. Peoria, IL 61629-6415
Gerard R. Vittecoq	Vice President	Caterpillar SARL 76, route de Frontenex P. O. Box 6000 CH - 1211 Geneva 6 Switzerland
Sherril K. West	Vice President	Caterpillar Inc. P. O. Box 1875 Peoria, IL 61656-1875
Donald G. Western	Vice President	Caterpillar Inc. P. O. Box 610 Mossville, IL 61552-0610
Steven H. Wunning	Vice President	Caterpillar Inc. Peoria, IL 61629-3400

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<u>Name</u>	<u>Office</u>	<u>Business</u>
Kenneth J. Zika	Controller	Caterpillar Inc. Peoria, IL 61629-5280
Kevin E. Colgan	Treasurer	Caterpillar Inc. Peoria, IL 61629-5350
Robin D. Beran	Assistant Treasurer	Caterpillar Inc. Peoria, IL 61629-4295
Tinkie E. Demmin	Assistant Secretary	Caterpillar Inc. Peoria, IL 61629-7310
Laurie J. Huxtable	Assistant Secretary	Caterpillar Inc. Peoria, IL 61629-7310

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DIRECTORS' ADDRESSES

<u>Name</u>	<u>Business Address</u>
Lilyan H. Affinito	870 U.N. Plaza, Apt. 17E New York, NY 10017
Glen A. Barton	Caterpillar Inc.* 100 N.E. Adams Street Peoria, IL 61629-7210
W. Frank Blount	1040 Stovall Boulevard, N.E.* Atlanta, GA 30319
Dr. John R. Brazil	Trinity University* 715 Stadium Road San Antonio, TX 78212
John T. Dillon	International Paper* International Paper Plaza 400 Atlanta Street Stamford, CT 06921
Eugene V. Fife	Vawter Capital* Zero Court Square Charlottesville, VA 22902
Gail D. Fosler	The Conference Board* 845 Third Avenue New York, NY 10022-6679
Juan Gallardo T.	Grupo Embotelladoras Unidas, S.A. de C.V. * Monte Caucazo 915, 4th Floor Col. Lomas de Chapultepec 11000 Mexico City Mexico

DIRECTORS' ADDRESSES

<u>Name</u>	<u>Business Address</u>
David R. Goode	Norfolk Southern Corporation* Three Commercial Place Norfolk, VA 23510-2191
Peter A. Magowan	San Francisco Giants* Pacific Bell Park 24 Willie Mays Plaza San Francisco, CA 94107
William A. Osborn	Northern Trust Corporation* 50 South LaSalle Street Chicago, IL 60675
Gordon R. Parker	10101 E. Dry Creek Road Englewood, CO 80112
Lord Charles D. Powell	Sagitta Asset Management Limited* Berkeley Square House, 4 th Floor Berkeley Square London W1X 5PN England
Joshua I. Smith	Coaching Group, LLC 600 14 th Street, N.W., Suite 800 Washington, DC 20005-2004

*Send mail to this address.