

2001 UNIFORM BUSINESS REPORT (UBR)

1 of 1
0600682

DOCUMENT # P10071

1. Entity Name

CATERPILLAR INC.

APPROVED
AND
FILED

01 MAR 27 PM 4:46

SECRETARY OF STATE
TALLAHASSEE, FLORIDA



DO NOT WRITE IN THIS SPACE

Principal Place of Business

100 N.E. ADAMS STREET
PEORIA IL 61629-7310
US

Mailing Address

100 N.E. ADAMS STREET
PEORIA IL 61629-7310
US

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number 37-0602744

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
8751 WEST BROWARD BLVD.
PLANTATION FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)
1200 S. Pine Island Rd.

City
Plantation

FL

Zip Code
33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE D
NAME AFFINITO, LILYAN, H
STREET ADDRESS 599 LEXINGTON AVE, 23RD FLOOR
CITY-ST-ZIP NEW YORK NY ☐ Delete

TITLE D
NAME FONDAHL, JOHN W.
STREET ADDRESS 12810 VISCAINO ROAD
CITY-ST-ZIP LOS ALTOS HILLS CA ☒ Delete

TITLE D
NAME GOODE, DAVID R
STREET ADDRESS THREE COMMERCIAL PLACE
CITY-ST-ZIP NORFOLK VA ☐ Delete

TITLE DC
NAME BARTON, GLEN A
STREET ADDRESS 100 NE ADAMS ST
CITY-ST-ZIP PEORIA IL ☐ Delete

TITLE D
NAME GORTER, JAMES P.
STREET ADDRESS 200 W. MADISON, ST 3510
CITY-ST-ZIP CHICAGO IL ☐ Delete

TITLE D
NAME BLOUNT, W. F
STREET ADDRESS LEVEL 15,231 ELIZABETH ST.
CITY-ST-ZIP SYDNEY NS ☐ Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP SEE ATTACHED LISTING

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP 400003932324--7
-03/30/01--01099--023
****150.00 ****150.00

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Laurie J. Huxtable Laurie J. Huxtable, Assistant Secretary 3/21/01

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/00)

March 2, 2001CATERPILLAR INC. OFFICERS

<u>Name</u>	<u>Office</u>	<u>Business</u>
Glen A. Barton	Chairman of the Board and Chief Executive Officer	Caterpillar Inc. Peoria, IL 61629-7210
Vito H. Baumgartner	Group President	Caterpillar SARL 76, route de Frontenex P. O. Box 6000 CH - 1211 Geneva 6 Switzerland
Gerald S. Flaherty	Group President	Caterpillar Inc. Peoria, IL 61629-7230
James W. Owens	Group President	Caterpillar Inc. Peoria, IL 61629-7220
Gerald L. Shaheen	Group President	Caterpillar Inc. Peoria, IL 61629-7240
Richard L. Thompson	Group President	Caterpillar Inc. Peoria, IL 61629-7250
R. Rennie Atterbury III	Vice President, General Counsel and Secretary	Caterpillar Inc. Peoria, IL 61629-7310
Sidney C. Banwart	Vice President	Caterpillar Inc. Peoria, IL 61629-6415
Michael J. Baunton	Vice President	Perkins Engine Company Limited Frank Perkins Way Eastfield Peterborough PE1 5NA England
James S. Beard	Vice President	Caterpillar Financial Services Corporation 2120 West End Avenue Nashville, TN 37203-0001
Richard A. Benson	Vice President	Caterpillar Inc. Peoria, IL 61629-2495

<u>Name</u>	<u>Office</u>	<u>Business</u>
James E. Despain	Vice President	Caterpillar Inc. 901 W. Washington CV4110 East Peoria, IL 61630
Michael A. Flexsenhar	Vice President	Caterpillar Inc. P. O. Box 1430 Decatur, IL 62525-1819
Thomas A. Gales	Vice President	Caterpillar Inc. 501 S.W. Jefferson Peoria, IL 61630-2100
Donald M. Ings	Vice President	Caterpillar Inc. 954 NC 42 East Clayton, NC 27520
Stu L. Levenick	Vice President	Shin Caterpillar Mitsubishi Ltd. SBS Tower, 10 th Floor 4-10-1, Yoga Setagaya-ku, Tokyo 158-8530 Japan
Duane H. Livingston	Vice President	Caterpillar Inc. Peoria, IL 61629-3305
Robert R. Macier	Vice President	Caterpillar Inc. P. O. Box 504 Joliet, IL 60434-0504
David A. McKie	Vice President	Caterpillar Inc. Peoria, IL 61629-4490
F. Lynn McPheeters	Vice President and Chief Financial Officer	Caterpillar Inc. Peoria, IL 61629-5390
Daniel M. Murphy	Vice President	Caterpillar Inc. P. O. Box 610 Mossville, IL 61552-0610
Douglas R. Oberhelman	Vice President	Caterpillar Inc. P. O. Box 610 Mossville, IL 61552-0610
Gerald Palmer	Vice President	Caterpillar Inc. P. O. Box 348 Aurora, IL 60507

<u>Name</u>	<u>Office</u>	<u>Business</u>
Robert C. Petterson	Vice President	Caterpillar Inc. Peoria, IL 61629-6105
John E. Pfeffer	Vice President	Caterpillar Inc. Peoria, IL 61629-1205
Siegfried R. Ramseyer	Vice President	Caterpillar China Limited 37th Floor, The Lee Gardens 33 Hysan Avenue Causeway Bay Hong Kong
Edward J. Rapp	Vice President	Caterpillar SARL 76, route de Frontenex P. O. Box 6000 CH - 1211 Geneva 6 Switzerland
Alan J. Rassi	Vice President	Caterpillar Inc. Peoria, IL 61629-4190
Gary A. Stroup	Vice President	Caterpillar Inc. Peoria, IL 61629-6415
Gerard R. Vittecoq	Vice President	Caterpillar SARL 76, route de Frontenex P. O. Box 6000 CH - 1211 Geneva 6 Switzerland
Sherril K. West	Vice President	Caterpillar Inc. P. O. Box 1875 Peoria, IL 61656-1875
Donald G. Western	Vice President	Caterpillar Inc. P. O. Box 610 Mossville, IL 61552-0610
Steven H. Wunning	Vice President	Caterpillar Inc. Peoria, IL 61629-3400
Kenneth J. Zika	Treasurer	Caterpillar Inc. Peoria, IL 61629-5350
Robin D. Beran	Assistant Treasurer	Caterpillar Inc. Peoria, IL 61629-4295
Robert R. Gallagher	Controller	Caterpillar Inc. Peoria, IL 61629-5280

<u>Name</u>	<u>Office</u>	<u>Business</u>
Tinkie E. Demmin	Assistant Secretary	Caterpillar Inc. Peoria, IL 61629-7310
Laurie J. Huxtable	Assistant Secretary	Caterpillar Inc. Peoria, IL 61629-7310

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DIRECTORS' ADDRESSES

<u>Name</u>	<u>Business Address</u>
Lilyan H. Affinito	599 Lexington Avenue 23rd Floor New York, NY 10022
Glen A. Barton	Caterpillar Inc. 100 N.E. Adams Street Peoria, IL 61629-7210
W. Frank Blount	CypressCommunications, Inc. 15 Piedmont Center, Suite 100 Atlanta, GA 31119
Dr. John R. Brazil	Trinity University 715 Stadium Road San Antonio, TX 78212
John T. Dillon	International Paper Two Manhattanville Road Purchase, NY 10577
Juan Gallardo T.	Grupo Embotelladoras Unidas, S.A. de C.V. Monte Caucazo 915, 4th Floor Col. Lomas de Chapultepec Mexico 11000 D.F.
David R. Goode	Norfolk Southern Corporation Three Commercial Place Norfolk, VA 23510-2191
James P. Gorter	Green Bay Management Co. 855 Skokie Highway, Suite D Lake Bluff, IL 60044

DIRECTORS' ADDRESSES

<u>Name</u>	<u>Business Address</u>
William A. Osborn	The Northern Trust Corporation* 50 South LaSalle Street Chicago, IL 60675
Peter A. Magowan	San Francisco Giants Pacific Bell Park 24 Willie Mays Plaza San Francisco, CA 94107
Gordon R. Parker	10101 E. Dry Creek Road Englewood, CO 80112
Lord Charles D. Powell	Sagitta Asset Management Limited Berkeley Square House, 4 th Floor Berkeley Square London W1X 5PN England
Joshua I. Smith	iGate Inc. 455 South 4 th Avenue Louisville, KY 40202
Ambassador Clayton K. Yeutter	Hogan & Hartson Columbia Square 555 Thirteenth Street NW Washington, DC 20004-1109