

2001, UNIFORM BUSINESS REPORT (UBR)

DOCUMENT #. P08961

1. Entity Name

Sheffield Investment Management, Inc.

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS

01 OCT 19 PM 6:46

Principal Place of Business

900 Circle 75 Parkway
Suite 750
Atlanta, GA 30339

Mailing Address

900 Circle 75 Parkway
Suite 750
Atlanta, GA 30339

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

58-1403928

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

DO NOT WRITE IN THIS SPACE

5. Name and Address of Current Registered Agent

CT Corporation System
1200 S. Pine Island Road
Plantation, FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back)

FILE NOW!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution.

☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
P.D.
Sheffield, Roger A.
215 Cameron Glen Ct.
Atlanta, GA 30328 ☐ Delete

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
CFO
Scott, Caroline L.
2064 Dellwood Dr.
Atlanta, GA 30309 ☐ Delete

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
100004663901
-11/06/01--01091--020
***150.00 ***150.00
☐ Change ☐ Addition

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE NAME
STREET ADDRESS
CITY-ST-ZIP
☒ Change ☐ Addition
AD

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(f), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

10/11/01 (770) 953-1597

Date

Daytime Phone

CR2E034 (11/00)



Sheffield Investment Management, Inc.

October 17, 2001

State of Florida
Uniform Business Report
Division of Corporations
PO Box 1500
Tallahassee, FL 32302-1500

Re: Sheffield Investment Management, Inc.
Document # P08961

Dear Sir or Madam:

We just received a Notice of Administrative Revocation due to a Uniform Business Report not being filed for our firm for 2001. We would like to request a waiver of the reinstatement fee as we did not receive the renewal notice in early 2001. We filed for our investment advisor renewal in December of 2000, but due to changes in personnel, we were not anticipating the UBR submission or its deadline without the prompting of the renewal notice. I have made a note of the expected delivery date for the 2002 UBR and its due date so that we will not miss the deadline again.

In anticipation of your approval, we have enclosed a completed UBR (downloaded from the www.sunbiz.org site) and a check for the \$150 annual renewal fee.

Please contact me if you have any questions. Thank you for your assistance.

Sincerely,

Elizabeth Hamrick
Administrative & Technical Support