

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P07280

1. Entity Name

J.P. MORGAN SECURITIES INC.

Principal Place of Business

60 WALL STREET
NEW YORK NY 10260

Mailing Address

60 WALL STREET
NEW YORK NY 10260

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3224016

Applied For

Not Applicable

5. Certificate of Status Desired

XX

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE MD ☒ Delete
NAME THIEKE, STEPHEN G
STREET ADDRESS 60 WALL STREET
CITY-ST-ZIP NEW YORK NY 10260

TITLE MD ☐ Change ☒ Addition
NAME William S. Demchak
STREET ADDRESS 60 Wall Street
CITY-ST-ZIP New York, NY 10260

TITLE SMD ☒ Delete
NAME ROBBINS RACHEL F.
STREET ADDRESS 60 WALL STREET
CITY-ST-ZIP NEW YORK NY 10260

TITLE MD ☐ Change ☒ Addition
NAME Robert A. Flug
STREET ADDRESS 345 Park Avenue
CITY-ST-ZIP New York, NY 10154

TITLE CP ☒ Delete
NAME ROSE, CLAYTON S.
STREET ADDRESS 60 WALL STREET
CITY-ST-ZIP NEW YORK NY 10260

TITLE MD ☐ Change ☒ Addition
NAME Thomas B. Ketchum
STREET ADDRESS 270 Park Avenue
CITY-ST-ZIP New York, NY 10017

TITLE VCMD ☒ Delete
NAME ROHATYN, NICOLAS S
STREET ADDRESS 60 WALL STREET
CITY-ST-ZIP NEW YORK NY 10260

TITLE MD ☐ Change ☒ Addition
NAME James F. Krass
STREET ADDRESS 500 Stanton Christiana Road
CITY-ST-ZIP Newark, DE 19713

TITLE MD ☒ Delete
NAME TRUNZ, CHARLES M III
STREET ADDRESS 60 WALL STREET
CITY-ST-ZIP NEW YORK NY

TITLE MD ☐ Change ☒ Addition
NAME Cara L. Schnaper
STREET ADDRESS 60 Wall Street
CITY-ST-ZIP New York, NY 10260

TITLE VCMD ☒ Delete
NAME HANCOCK, PETER D
STREET ADDRESS 60 WALL STREET
CITY-ST-ZIP NEW YORK NY 10260

TITLE MD ☐ Change ☒ Addition
NAME Mark B. Werner
STREET ADDRESS 60 Wall Street
CITY-ST-ZIP New York, NY 10260

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

May C. Bloom
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

ASSISTANT SECRETARY

4/30/01
Date

(212)648-3492
Daytime Phone #

CR2E034 (10/00)

Attachment

JPMorgan

836440

P07280

April 30, 2001

J.P. Morgan & Co.
Incorporated

60 Wall Street
New York NY
10260-0060

Division of Corporations
409 East Gaines St.
Tallahassee, FL 32399

Re: J.P. Morgan Securities Inc. Uniform Business Report

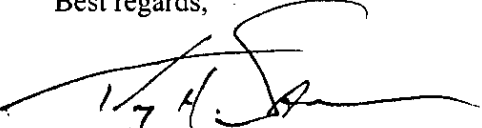
Dear Sir or Madam:

Enclosed please find the 2001 Uniform Business Report for J.P. Morgan Securities Inc., together with a check in the amount of \$158.75 reflecting the \$150.00 fee plus the \$8.75 additional fee for Certificate of Status. Please send the certificate of status to:

Timothy H. Samson
J.P. Morgan
60 Wall Street, 38th Floor
New York, N.Y. 10260-0060

Please feel free to call me at (212) 648-3492 if you have any questions.

Best regards,



Timothy H. Samson

enclosure