

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

PROFIT
CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # P07194 (4)

1. Corporation Name

USLIFE EQUITY SALES CORP.



Principal Place of Business

Mailing Address

125 MAIDEN LANE
NEW YORK NY 10038

125 MAIDEN LANE
NEW YORK NY 10038

3. Date Incorporated or Qualified

08/23/1985

3a. Date of Last Report

02/10/1995

2. Principal Place of Business

2a. Mailing Address

21

26

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22

27

City & State

City & State

23

28

Zip

Country

Zip

Country

24

25

29

30

4. FEI Number

13-2608825

Applied For

Not Applicable

5. Certificate of Status Desired

XX

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes ☐ Yes ☐ No

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

N/A

(Signature, typed or printed name of registered agent and title, if applicable)

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE ☐ DELETE

NAME
CROSBY, GORDON E. JR.
STREET ADDRESS
125 MAIDEN LANE
CITY, ST, ZIP
NEW YORK NY

1.1 TITLE

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY - ST - ZIP

TITLE ☒ DELETE

NAME
CASPER, ROBERT J
STREET ADDRESS
125 MAIDEN LN
CITY - ST - ZIP
NEW YORK NY 10038

2.1 TITLE

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY - ST - ZIP

TITLE ☒ DELETE

NAME
COLAO, RALPH F.
STREET ADDRESS
125 MAIDEN LANE
CITY - ST - ZIP
NEW YORK NY

3.1 TITLE

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY - ST - ZIP

TITLE ☐ DELETE

NAME
MANZO, MARK T
STREET ADDRESS
125 MAIDEN LANE
CITY - ST - ZIP
NEW YORK NY

4.1 TITLE

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY - ST - ZIP

TITLE ☐ DELETE

NAME
MILLER, LINDA
STREET ADDRESS
125 MAIDEN LANE
CITY - ST - ZIP
NEW YORK NY

5.1 TITLE

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY - ST - ZIP

TITLE ☐ DELETE

NAME
SAPERSTEIN, STEVEN H
STREET ADDRESS
125 MAIDEN LN
CITY - ST - ZIP
NEW YORK NY 10038

6.1 TITLE

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY - ST - ZIP

Vice President-Compliance ☐ Change ☒ Addition

Stephen Lavrovsky

125 Maiden Lane

New York, New York 10038

Senior Vice Pres., Secretary ☒ Change ☐ Addition
Treasurer

President ☒ Change ☐ Addition

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Linda Miller

1-22-96

212-709-6090

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (12/95)

USLIFE EQUITY SALES CORPORATION

Member NASD & SIPC

125 Maiden Lane • New York NY 10038 4992 • 212 709 6000

3/95

OFFICERS & DIRECTORS

BUSINESS ADDRESS

RESIDENCE ADDRESS

Gordon E. Crosby, Jr.
Chairman of the Board
& Director

125 Maiden Lane
New York, NY 10038

50 Sutton Place South
New York, NY 10022

William A. Simpson
Director

125 Maiden Lane
New York, NY 10038

58 West 58th Street #24F
New York, NY 10019

Steven H. Saperstein
President/CEO
& Director

125 Maiden Lane
New York, NY 10038

35 Drexel Court
New City, NY 10956

Linda Miller
Senior Vice President
Secretary & Treasurer

125 Maiden Lane
New York, NY 10038

212 Rathbun Avenue
Staten Island, NY 10312

Stephen Lavrovsky
Vice President
Compliance Officer

125 Maiden Lane
New York, NY 10038

65 Hilbert Street #3A
Hempstead, NY 11550

Mark T. Manzo
Assistant Treasurer

125 Maiden Lane
New York, NY 10038

43 Cherry Street
Jersey City, NJ 07305