

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
1999



FLORIDA DEPARTMENT OF STATE  
**Katherine Harris**  
Secretary of State  
DIVISION OF CORPORATIONS

**FILED**  
**Mar 22, 1999 8:00 am**  
**Secretary of State**

03-22-1999 90057 030 \*\*\*150.00

DOCUMENT # **P06657**

1. Corporation Name

**BENEFITSCORP EQUITIES, INC.**

Principal Place of Business

**8515 E. ORCHARD RD.  
ENGLEWOOD CO 80111**

Mailing Address

**8515 E. ORCHARD RD.  
ENGLEWOOD CO 80111**



DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

**07/08/1985**

4. FEI Number

**84-0965407**

Applied For

☐ Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐

**\$5.00** May Be  
Added to Fees

8. This corporation owes the current year Intangible  
Personal Property Tax. ☐ Yes ☐ No

2. Principal Place of Business

**21** Suite, Apt. #, etc.

**22** City & State

**23** Zip Country

**24** **25**

2a. Mailing Address

**26** Suite, Apt. #, etc.

**27** City & State

**28** Zip Country

**29** **30**

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION FL 33324**

**81** Name

**82** Street Address (P.O. Box Number is Not Acceptable)

**83**

**84** City

**FL**

**85** Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE **D** ☐ DELETE

NAME **SELLER, GREGG E.**  
STREET ADDRESS **3699 WILSHIRE BLVD STE 675**  
CITY-ST-ZIP **LOS ANGELES CA**

TITLE **VD** ☐ DELETE

NAME **BAKER, JACK**  
STREET ADDRESS **8515 E. ORCHARD RD.**  
CITY-ST-ZIP **ENGLEWOOD CO**

TITLE **AS** ☐ DELETE

NAME **BYRNE, BEVERLY A.**  
STREET ADDRESS **8515 E. ORCHARD ROAD**  
CITY-ST-ZIP **ENGLEWOOD CO**

TITLE **T** ☐ DELETE

NAME **DERBACK, GLEN R.**  
STREET ADDRESS **8515 E ORCHARD ROAD**  
CITY-ST-ZIP **ENGLEWOOD CO**

TITLE **P** ☐ DELETE

NAME **NELSON, CHARLES**  
STREET ADDRESS **500 108TH AVENUE NE, SUITE 2180**  
CITY-ST-ZIP **BELLEVUE WA**

TITLE **D** ☐ DELETE

NAME **SHAW, ROBERT K**  
STREET ADDRESS **8515 E ORCHARD ROAD**  
CITY-ST-ZIP **ENGLEWOOD CO 80111**

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE **Vice President** ☒ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE **Secretary** ☒ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

**Beverly A. Byrne** **REQUIRED**

**Beverly A. Byrne, Secretary 1/8/99**

**303-689-3817**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (11/98)

297 703-90057-30  
P06057

# **BENEFITSCORP EQUITIES, INC.**

## **Directors**

Name/Title	Business/Resident Address	S.S.#	CRD #
Charles P. Nelson Chairman	8515 East Orchard Road, Englewood, Colorado 80111 1187 E. Jesse Court, Highland Ranch, CO 80126	532-58-5602	1161349
Robert K. Shaw Director	8515 E. Orchard Road, Englewood, CO 80111 5484 S. Nucla Ct., Aurora, CO 80015	521-29-8940	1224633
John Brown Director	8515 E. Orchard Road, Englewood, CO 80111 30402 Heavenly Court, Evergreen CO 80439	328-54-4469	32517
Dennis Low Director	8515 E. Orchard Road, Englewood, CO 80111 3862 Christy Ridge Road, Sedalia, CO 80135	541-78-8528	313327
Gregg E. Seller Director	18101 Von Karman Ave., Suite 1460, Irvine, CA 92715 37 New York Court, Monarch Beach, CA 92629	548-96-2938	718206
Doug L. Wooden Director	8515 E. Orchard Road, Englewood, CO 80111 5 Huntwick Lane, Englewood, CO 80110	220-62-2231	-

## **Officers**

Charles Nelson President	8515 East Orchard Road, Englewood, Colorado 80111 1187 E. Jesse Court, Highland Ranch, CO 80126	532-58-5602	1161349
Gregg E. Seller V.P., Major Accounts	18101 Von Karman Ave., Suite 1460, Irvine, CA 92715 37 New York Court, Monarch Beach, CA 92629	548-96-2938	718206
Jack Baker V.P., Licensing and Contracts	8515 E. Orchard Road, Englewood, CO 80111 5922 S. Ironton Ct., Englewood, CO 80111	519-44-4709	10748
Glen R. Derback Treasurer	8515 E. Orchard Road, Englewood, CO 80111 7340 Brixham Circle, Castle Rock, CO 80104	522-41-8866	1330783
Beverly A. Byrne Secretary	8515 E. Orchard Road, Englewood, CO 80111 3173 Soaring Eagle Lane, Castle Rock, CO 80104	513-62-6836	1983774
Teresa L. Buckley Compliance Officer	8515 E. Orchard Road, Englewood, CO 80111 9400 E. Iliff Avenue, #396, Denver, CO 80231	505-78-7894	1573186

**Date of Incorporation:** October 12, 1984

**State of Incorporation:** Delaware

**Date of Annual Shareholder's Meeting:** Second Monday of the month of April

**Stock:** 10,000 shares authorized--Common--2,000 (\$200,000) issued--no par value

**Purpose:** To serve as broker dealer.

**FEIN:** 84-0965407