

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
Feb 21, 1999 8:00 am
Secretary of State

02-21-1999 90065 004 ***150.00

DOCUMENT # P05849

1. Corporation Name
W.S. GRIFFITH & CO., INC.

Principal Place of Business

Mailing Address

AMERICAN ROW
BOX 5056 MAILSTOP: G
CT 06102-5056

ONE AMERICAN ROW
P.O. BOX 5056 MAILSTOP: G
HARTFORD CT 06102-5056



DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

04/30/1985

4. FEI Number

13-2667818

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax.

☐ Yes

☒ No

Principal Place of Business

2a. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

25

29

30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

OFFICERS AND DIRECTORS

13.

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1 ADDRESS
ST-ZIP

P
TAN, SIMON Y
1 AMERICAN ROW
HARTFORD CT 06115

☐ DELETE

1.1 TITLE
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP

☐ Change ☐ Addition

1 ADDRESS
ST-ZIP

V
ROCCHI, GERALD A
1 AMERICAN ROW
HARTFORD CT 06115

☐ DELETE

2.1 TITLE
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP

☐ Change ☐ Addition

1 ADDRESS
ST-ZIP

S
KERR, EDWIN
1 AMERICAN ROW
HARTFORD CT

☐ DELETE

3.1 TITLE
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP

☐ Change ☐ Addition

1 ADDRESS
ST-ZIP

V
DEERING, PETER S
3314 HILL ST
SAN DIEGO CA

☐ DELETE

4.1 TITLE
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP

☐ Change ☐ Addition

1 ADDRESS
ST-ZIP

VT
MILLER, LAURA E
752 TUSCANY LANE
SNA DIEGO CA

☐ DELETE

5.1 TITLE
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP

☐ Change ☐ Addition

1 ADDRESS
ST-ZIP

See attached

☐ DELETE

6.1 TITLE
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP

☐ Change ☐ Addition

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

GERARD ROCCHI 1/5/98

Date

860-403-5133

Daytime Phone #

CR2E034 (11/98)

9144b-90065-4
P 05849

WS Griffith and Co., Inc. Directors & Officers

DIRECTORS	ADDRESS	HOME ADDRESS	SOCIAL SECURITY #
Simon Y. Tan	One American Row Hartford, CT 06115	169 Weir Street Glastonbury, CT 06033	133-48-5686
Philip R. McLoughlin	One American Row Hartford, CT 06115	39 Joshua Drive West Simsbury, CT 06092	042-38-7958
Robert Primmer	One American Row Hartford, CT 06115	47 Wyngate Simsbury, CT 06070	012-48-8529
Charles J. Paydos	One American Row Hartford, CT 06115	140 Balbrae Drive Bloomfield, CT 06002	033-28-3950
David W. Searfoss	One American Row Hartford, CT 06115	3 Stratford Road Farmington, CT 06032	045-40-6390
Dona D. Young	One American Row Hartford, CT 06115	89 Woodford Hills Drive Avon, CT 06001	068-44-3481
OFFICERS	ADDRESS	HOME ADDRESS	SOCIAL SECURITY #
Simon Y. Tan, President	One American Row Hartford, CT 06115	169 Weir Street Glastonbury, CT 06033	133-48-5686
Gerard A. Rocchi, Sr. Vice President Chief Operating Officer	One American Row Hartford, CT 06115	5 Colonial Drive Wilbraham, MA 01095	085-64-0172
Peter S. Deering, Vice President Chief Marketing Officer	2355 Northside Drive, Ste. 250, San Diego, CA 92108	4927 Ocean Blvd. San Diego, CA 92109	559-90-9458
Laura E. Miller, Vice President Treasurer, Chief Financial Officer	2355 Northside Drive, Ste. 250, San Diego, CA 92108	752 Tuscany Lane San Diego, CA 92126	572-37-6995
Michael A. Gilliland, Assistant Vice President Assistant Secretary, Compliance Officer	One American Row Hartford, CT 06115	25 Butternut Knoll Middletown, CT 06457	409-23-6487
Matthew D. Verdi, Assistant Vice President Independent Distribution	One American Row Hartford, CT 06115	91 Yorktown Drive Springfield, MA 01108	030-42-0925
Ellen R. Moody Assistant Treasurer	One American Row Hartford, CT 06115	39 Briar Hill Road Avon, CT 06001	102-34-0744
Michael A. Pagano Assistant Vice President Compliance Officer	One American Row Hartford, CT 06115	1 Blue Acre Road Middletown, CT 06457	593-05-9741
Edwin Kerr Secretary	One American Row Hartford, CT 06115	233 Hill Street Suffield, CT 06078	098-40-9629