

2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P05544

1. Entity Name

ASSOCIATED SECURITIES CORP.

FILED
Mar 27, 2002 8:00 am
Secretary of State

03-27-2002 90090 033 ***150.00

Principal Place of Business

5933 W. CENTURY BLVD.
9TH FLOOR
LOS ANGELES CA 90045
US

Mailing Address

5933 W. CENTURY BLVD.
9TH FLOOR
LOS ANGELES CA 90045
US

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

95-3793471

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

PRENTICE-HALL CORPORATION SYSTEM, INC.
110 NORTH MAGNOLIA STREET
TALLAHASSEE FL 32301

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State10. Election Campaign Financing
Trust Fund Contribution. ☐\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE PD ☐ Delete
NAME NAKAGIRI, NEAL E
STREET ADDRESS 5933 W. CENTURY BLVD.; 9TH FLOOR
CITY-ST-ZIP LOS ANGELES CA 90045TITLE VP ☒ Delete
NAME ROBINSON, MAX
STREET ADDRESS 5933 W. CENTURY BLVD. 9TH FLOOR
CITY-ST-ZIP LOS ANGELES CATITLE VPT ☐ Delete
NAME LINDSEY, JOHN R
STREET ADDRESS 5933 W. CENTURY BLVD.; 9TH FLOOR
CITY-ST-ZIP LOS ANGELES CATITLE VPC ☐ Delete
NAME NAKAGIRI, NEAL E.
STREET ADDRESS 5933 W. CENTURY BLVD.; 9TH FLOOR
CITY-ST-ZIP LOS ANGELES CATITLE VPR ☐ Delete
NAME SUJANANI, MURLI
STREET ADDRESS 5933 W. CENTURY BLVD; 9TH FLOOR
CITY-ST-ZIP LOS ANGELES CATITLE VPCO ☐ Delete
NAME SCHEFFY, ELGONDE M
STREET ADDRESS 5933 WEST CENTURY BLVD.
CITY-ST-ZIP LOS ANGELES CA 90045

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition
NAME See attached for
STREET ADDRESS complete list
CITY-ST-ZIPTITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

John R. Lindsey 3/11/2002 (310) 670-0000
Senior Vice President

Date

Daytime Phone #

CR2E034 (9/01)

Attachment & Doc#

PO5544/611667

**OFFICERS
OF
ASSOCIATED SECURITIES CORPORATION**
Business Address
5933 W. Century Blvd. 9th Floor
Los Angeles, CA. 90045

Name	Title	Email
William P. Barnard	Senior Vice President Insurance Services	bbarnard@afgweb.com
Randal R. Carson	Senior Vice President Trading	rcarson@afgweb.com
Denise Evans	Vice President Compliance	devans@afgweb.com
Mary C. Galvan	Vice President Operations	mgalvan@afgweb.com
Patricia L. Gerwick	Vice President Regional Director	pgerwick@afgweb.com
John Lindsey	Senior Vice President Chief Financial Officer	jlindsey@afgweb.com
Neal E. Nakagiri	President and Chief Executive Officer	nnakagiri@afgweb.com
Elgonde M. Scheffy	Senior Vice President Chief Operating Officer	mscheffy@afgweb.com
Murli D. Sujamani	Senior Vice President Investment Research	msujanani@afgweb.com
Mark Vandegrift	Vice President Regional Director	mvandegrift@afgweb.com