

# 2004 FOR PROFIT CORPORATION ANNUAL REPORT

**FILED**  
**Mar 26, 2004 8:00 am**  
**Secretary of State**

03-26-2004 90014 013 \*\*\*158.75

**DOCUMENT # P05498**

1. Entity Name  
HSBC BROKERAGE (USA) INC.



Principal Place of Business

452 5TH AVENUE  
2ND FLR.  
NEW YORK, NY 10018

Mailing Address

452 5TH AVENUE  
2ND FLR.  
NEW YORK, NY 10018

**54022802**



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

03122004

Chg-P

CR2E034 (10/03)

City & State

City & State

4. FEI Number

13-5101370

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired



**\$8.75** Additional  
Fee Required

6. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing  
Trust Fund Contribution.



**\$5.00** May Be  
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE NAME	CEOD WEBSTER, GREGORY H	<input type="checkbox"/> Delete
STREET ADDRESS	452 5TH AVE., 2ND FL	
CITY-ST-ZIP	NEW YORK, NY 10018	
TITLE NAME	COO SAMANIEGO, FABIAN	<input type="checkbox"/> Delete
STREET ADDRESS	452 5TH AVE., 2ND FL	
CITY-ST-ZIP	NEW YORK, NY 10018	
TITLE NAME	CFO KREBS, KARL	<input checked="" type="checkbox"/> Delete
STREET ADDRESS	452 5TH AVE., 2ND FL	
CITY-ST-ZIP	NEW YORK, NY 10018	
TITLE NAME	CTO FUREY, THOMAS	<input type="checkbox"/> Delete
STREET ADDRESS	452 5TH AVE., 2ND FL	
CITY-ST-ZIP	NEW YORK, NY 10018	
TITLE NAME	SCLO TUNG, GEA	<input type="checkbox"/> Delete
STREET ADDRESS	1 HSBC CENTER 27TH FL	
CITY-ST-ZIP	BUFFALO, NY 14203	
TITLE NAME	SD COON, DAVID	<input checked="" type="checkbox"/> Delete
STREET ADDRESS	1 HSBC PLAZA	
CITY-ST-ZIP	ROCHESTER, NY 14639	

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME		<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS		
CITY-ST-ZIP		
TITLE NAME	Director, COO + CFO	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS		
CITY-ST-ZIP		
TITLE NAME		<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS		
CITY-ST-ZIP		
TITLE NAME	Director + CTO	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS		
CITY-ST-ZIP		
TITLE NAME		<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS		
CITY-ST-ZIP		

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Joseph Gallino*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

JOSEPH GALLINO, Asst Secy + CEO

3-18-2004  
Date

(212) 525-2879  
Daytime Phone #

Attachment 7

54022802

**Attachment to: 2004 Uniform Business Report**  
**Document # P05498**

**HSBC Brokerage (USA) Inc. – Officers & Directors**  
**As of February 2004**

Daniel Plis  
Sales Director  
1 HSBC Center, 23<sup>rd</sup> Fl.  
Buffalo, NY 14203

Joseph A. Gallino  
Assistant Secretary & CCO  
452 5<sup>th</sup> Ave. 2<sup>nd</sup> Floor  
New York, NY 10018

Jeremiah Murnane  
Director  
452 5<sup>th</sup> Ave. 2<sup>nd</sup> Floor  
New York, NY 10018

Brendan McDonagh  
Director, Chairman  
1 HSBC Center, 24<sup>th</sup> Fl.  
Buffalo, NY 14203

Randy Ambrosie  
Director  
70 York Street, 5 Fl  
Toronto, ON M5J 1S9