

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED
May 02, 2002 8:00 am
Secretary of State

05-02-2002 90109 009 ***158.75

DOCUMENT # P05498

1. Entity Name

HSBC BROKERAGE (USA) INC.

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

452 5th AVE

Suite, Apt. #, etc.

2nd FL

3. Mailing Address

452 5th AVE

Suite, Apt. #, etc.

2nd FL

City & State

NEW YORK, NEW YORK

City & State

NEW YORK, NEW YORK

Zip

10018

Country

USA

Zip

10018

Country

USA

4. FEI Number

13-5101370

Applied For

Not Applicable

5. Certificate of Status Desired



\$8.75 Additional
Fee Required

7. Name and Address of Current Registered Agent

Name

CORPORATION SERVICE COMPANY

Street Address (P.O. Box Number is Not Acceptable)

1201 HAYS STREET

City

TALLAHASSEE

FL

Zip Code

32301

**DO NOT WRITE
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its intangible

Tax filing requirement and elects to do so.

(See criteria on back)



January 1 - May 1 Fee is \$150.00

After May 1, Fee is \$550.00

Amended UBR is \$61.25

Make Check Payable to Department of State

10. Election Campaign Financing

Trust Fund Contribution.



\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEOD GREGORY H. WEBSTER 452 5th Ave. New York, NY 10018	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	COO FABIAN SAMANIEGO 452 5th Ave New York, NY 10018	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFO KARL KREBS 452 5th Ave New York, NY 10018	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CTO Thomas Furey 452 5th Ave New York, NY 10018	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Sales Director DAVID COON 1 HSBC PLAZA Rochester, NY 14639	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Sales Director Thomas A. Ruggiero 452 5th Ave New York, NY 10018	TITLE NAME STREET ADDRESS CITY-ST-ZIP	

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IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE:

Joseph Gallino

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

JOSEPH GALLINO

4/5/2002 212-525-2780
Date Daytime Phone #

CR2E034B (12/01)

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11. OFFICERS AND DIRECTORS

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP
Secretary	TUNG, GEA	1 HSBC CENTER, 27th Fl	Buffalo, NY 14203
CCO	JOSEPH GALINO	452 5th Ave.	New York, NY 10018
Director	DAVID FRIED	1 HSBC CENTER, Atrium 5N	Buffalo, NY 14203
Director	Richard Vartabedian	452 5th Ave.	New York, NY 10018

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4/5/2002 212-525-2780

Daytime Phone #

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