

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P05032

FILED
Apr 13, 2011
Secretary of State

Entity Name: TOWER SQUARE SECURITIES, INC.

Current Principal Place of Business:

1300 HALL BOULEVARD
BLOOMFIELD, CT 06002 US

New Principal Place of Business:

Current Mailing Address:

1095 AVENUE OF THE AMERICAS
TAX DEPARTMENT - 15TH FLOOR
NEW YORK, NY 10036 US

New Mailing Address:

1095 AVENUE OF THE AMERICAS
TAX DEPARTMENT - MSC-15017
NEW YORK, NY 10036 US

FEI Number: 06-0843577

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

OFFICERS AND DIRECTORS:

Title: PD
Name: MARKHAM, CRAIG W
Address: 13045 TESSON FERRY RD
City-St-Zip: SAINT LOUIS, MO 63128

Title: VP
Name: BRASH, STEVEN J
Address: 1095 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10036

Title: AVP
Name: ZDEB, JOSEPH A
Address: 1095 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10036

Title: T
Name: GOULART, STEVEN J
Address: 1095 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10036

Title: S
Name: TORRES, ISAAC
Address: 1095 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10036

Title: DCOB
Name: BRETT, JOHN J
Address: 485-E US HWY 1 S.
City-St-Zip: ISELIN, NJ 08830

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOSEPH A. ZDEB

AVP

04/13/2011

_____ Electronic Signature of Signing Officer or Director

_____ Date