2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P05032

FILED Apr 22, 2010 Secretary of State

Entity Name: TOWER SQUARE SECURITIES, INC.

Current Principal Place of Business: New Principal Place of Business:

1300 HALL BOULEVARD BLOOMFIELD, CT 06002 US

Current Mailing Address: New Mailing Address:

1095 AVENUE OF THE AMERICAS TAX DEPARTMENT - 15TH FLOOR NEW YORK, NY 10036 US

FEI Number: 06-0843577 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()

Name and Address of Current Registered Agent: Name and Address of New Registered Agent:

CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: PD

 Name:
 MARKHAM, CRAIG W

 Address:
 13045 TESSON FERRY RD

 City-St-Zip:
 SAINT LOUIS, MO 63128

Title: VP

Name: BRASH, STEVEN J

Address: 1095 AVENUE OF THE AMERICAS

City-St-Zip: NEW YORK, NY 10036

Title: AVP

Name: ZDEB, JOSEPH A

Address: 1095 AVENUE OF THE AMERICAS

City-St-Zip: NEW YORK, NY 10036

Title: T

Name: GOULART, STEVEN J

Address: 1095 AVENUE OF THE AMERICAS

City-St-Zip: NEW YORK, NY 10036

Title:

Name: WELIKSON, JEFFREY A

Address: 1095 AVENUE OF THE AMERICAS

City-St-Zip: NEW YORK, NY 10036

Title: DCOB

 Name:
 BRETT, JOHN J

 Address:
 485-E US HWY 1 S

 City-St-Zip:
 ISELIN, NJ 08830

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOSEPH A. ZDEB AVP 04/22/2010