


2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 30, 2004 8:00 am
Secretary of State

04-30-2004 90343 037 ***150.00

DOCUMENT # P05032

1. Entity Name
TOWER SQUARE SECURITIES, INC.



Principal Place of Business Mailing Address

% CORP TAX 19 CP % CORP TAX 19 CP
P.O. BOX 990027 P.O. BOX 990027
HARTFORD, CT 06199-0027 US HARTFORD, CT 06199-0027 US



2. Principal Place of Business 3. Mailing Address

Corp Tax 19 CP *Corp Tax 19 CP*
Suite, Apt. #, etc. Suite, Apt. #, etc.
P.O. Box 990027 *P.O. Box 990027*

04132004 Chg-P CR2E034 (10/03)

City & State City & State

Hartford, CT *Hartford, CT*

Zip Country Zip Country

06199-0027 *US* *06199-0027* *US*

4. FEI Number Applied For

06-0843577 Not Applicable

5. Certificate of Status Desired **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) _____ DATE _____

FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00

9. Election Campaign Financing Trust Fund Contribution. **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS		11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	P CASSIDY, EDWARD W JR P.O. BOX 990027 HARTFORD, CT 061990027 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<i>President</i> <i>Giffard John Hurley</i> <i>P.O. Box 990027</i> <i>Hartford, CT 06199-0027</i> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V LAROSE, MARK P.O. BOX 990027 HARTFORD, CT 061990027 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<i>George C. Kokalis</i> <i>P.O. Box 990027</i> <i>Hartford, CT 06199-0027</i> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D MAY, KAREN P.O. BOX 990027 HARTFORD, CT 061990027 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<i>Glean Lemary</i> <i>P.O. Box 990027</i> <i>Hartford, CT 06199-0027</i> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	T LAVERTY, JOHN M P.O. BOX 990027 HARTFORD, CT 061990027 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S WRIGHT, ERNEST S P.O. BOX 990027 HARTFORD, CT 061990027 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D RUSSO, JOANNE K P.O. BOX 990027 HARTFORD, CT 061990027 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Richard M. Bush* Date: *4/30/04* Daytime Phone #: *860-208-7475*

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Attachment

14015214
#05032

**Tower Square Securities, Inc.
Officers and Directors
February, 2004**

Name	Title
Edward W. Cassidy, Jr.	Chairman of the Board, CEO and Member of the Board of Directors
Gifford John Hurley	President and Member of the Board of Directors
George C. Kokulis	Member of the Board of Directors
Glenn Lammey	Member of the Board of Directors
Edwin L. Kerr	General Counsel and Assistant Secretary
Ernest J. Wright	Corporate Secretary
John J. Williams, Jr.	Assistant Secretary, Assistant Compliance Officer
Lewis F. Beers, III	Assistant Secretary
John M. Lavery	Treasurer and Chief Financial Officer
Judith Addazio	Assistant Treasurer
Joanne K. Russo	Senior Vice President and Chief Operations Officer
Moirra Lowe	Vice President and Chief Compliance Officer
Stephen E. Abbey	Vice President and Home Office Supervisor
George E. Markoulakis	Vice President, Operations and Trading
Mark A. Larose	Vice President and Chief Technology Officer
Thomas P. Tooley	Vice President
Richard N. Bush	Vice President-Taxes
Alan F. Monbaron	Director
Cynthia P. Hubler	Director
Katherine M. Siino	Director – Internal Review

**The business address for the individuals referenced is:
One Cityplace, 18th Floor, Hartford, CT 06103-3415**