

# 2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P04671

FILED  
Apr 28, 2011  
Secretary of State

**Entity Name:** MERRILL LYNCH PROFESSIONAL CLEARING CORP.

**Current Principal Place of Business:**

222 BROADWAY  
6TH FLOOR  
NEW YORK, NY 10038 US

**New Principal Place of Business:**

**Current Mailing Address:**

222 BROADWAY  
6TH FLOOR  
NEW YORK, NY 10038 US

**New Mailing Address:**

401 N TRYON ST  
NC1-021-02-20  
CHARLOTTE, NC 28255 US

**FEI Number:** 13-3247006

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

**OFFICERS AND DIRECTORS:**

Title: CEO  
Name: YETMAN, GARY E  
Address: 401 N TRYON ST; NC1-021-02-20  
City-St-Zip: CHARLOTTE, NC 28255

Title: SVP  
Name: DESOUZA, DONNA  
Address: 401 N TRYON ST; NC1-021-02-20  
City-St-Zip: CHARLOTTE, NC 28255 US

Title: SEC  
Name: MRUZ, HOLLY  
Address: 401 N TRYON ST; NC1-021-02-20  
City-St-Zip: CHARLOTTE, NC 28255 US

Title: PD  
Name: PETER, MELZ  
Address: 401 N TRYON ST; NC1-021-02-20  
City-St-Zip: CHARLOTTE, NC 28255 US

Title: CFO  
Name: DASALLA, BERNARD  
Address: 401 N TRYON ST; NC1-021-02-20  
City-St-Zip: CHARLOTTE, NC 28255 US

Title: D  
Name: CATRIS, FRANK L  
Address: 401 N TRYON ST; NC1-021-02-20  
City-St-Zip: CHARLOTTE, NC 28255

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: DONNA DESOUZA

SVP

04/28/2011

Electronic Signature of Signing Officer or Director

Date