

# 2006 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P04671

FILED  
Apr 20, 2006  
Secretary of State

Entity Name: MERRILL LYNCH PROFESSIONAL CLEARING CORP.

## Current Principal Place of Business:

222 BROADWAY  
6TH FLOOR  
NEW YORK, NY 10038 US

## New Principal Place of Business:

## Current Mailing Address:

222 BROADWAY  
6TH FLOOR  
NEW YORK, NY 10038 US

## New Mailing Address:

FEI Number: 13-3247006      FEI Number Applied For ( )      FEI Number Not Applicable ( )      Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: \_\_\_\_\_

Electronic Signature of Registered Agent

\_\_\_\_\_ Date

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: D ( ) Delete  
Name: YETMAN, GARY E  
Address: 41-A WELLINGTON ROAD  
City-St-Zip: GARDEN CITY, NY 11783

Title: VD ( ) Delete  
Name: SUDEEP, GUPTA  
Address: 4 WORLD FINANCIAL CENTER  
City-St-Zip: NEW YORK, NY 10080 US

Title: VDCO ( ) Delete  
Name: CATRIS, FRANK  
Address: 440 S LASALLE ST  
City-St-Zip: CHICAGO, IL 60605 US

Title: VDS ( ) Delete  
Name: MESSINGER, LINDA  
Address: 9 EILEEN COURT  
City-St-Zip: COMMACK, NY 11725 US

Title: COPD ( ) Delete  
Name: TRANFAGLIA, THOMAS A JR  
Address: 222 BROADWAY  
City-St-Zip: NEW YORK, NY 10038

Title: CFO ( ) Delete  
Name: ROSENTHAL, RICHARD  
Address: 222 BROADWAY 6TH FLR  
City-St-Zip: NEW YORK, NY 10038

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: CD (X) Change ( ) Addition  
Name: YETMAN, GARY E  
Address: 41-A WELLINGTON ROAD  
City-St-Zip: GARDEN CITY, NY 11783

Title: CEOD (X) Change ( ) Addition  
Name: JOHN, BROWN  
Address: 222 BROADWAY  
City-St-Zip: NEW YORK, NY 10080 US

Title: COOD (X) Change ( ) Addition  
Name: STRAZZA, ANTHONY  
Address: 222 BROADWAY  
City-St-Zip: NEW YORK, NY 10038 US

Title: CCOS (X) Change ( ) Addition  
Name: MESSINGER, LINDA  
Address: 9 EILEEN COURT  
City-St-Zip: COMMACK, NY 11725 US

Title: PD (X) Change ( ) Addition  
Name: TRANFAGLIA, THOMAS A JR  
Address: 222 BROADWAY  
City-St-Zip: NEW YORK, NY 10038

Title: CFO (X) Change ( ) Addition  
Name: DONALD, HAN  
Address: 222 BROADWAY 6TH FLR  
City-St-Zip: NEW YORK, NY 10038

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: LINDA L. MESSINGER

Electronic Signature of Signing Officer or Director

CCOS

04/20/2006

\_\_\_\_\_ Date