

PO4000050927

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

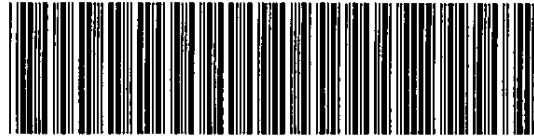
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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*name
change
Amend*

12/22/06--01059--006 **52.50

FILED
2006 DEC 22 PM 12:01
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

AOR

12/27/06



Member **NASD** **SIPC**

Registered Investment Advisory Services Through RK Advisors

CORPORATE HEADQUARTERS

6451 N. Federal Hwy., Ste. 1201

Ft. Lauderdale, FL 33308

Telephone 954.782.4771

Facsimile 954.943.7331

www.kovacksecurities.com

December 21, 2006

Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Re: Corporation Name Change

Dear Sir or Madam:

Enclosed is a check made payable to the Florida Secretary of State in the amount of \$52.50. This is the filing fee for a Certificate of Status, Certified Copy. I have enclosed two copies for your use.

Please call Brian Kovack at 954-782-4771 if you require additional information.

Sincerely,

Randy Cupples

CCO

COVER LETTER

TO: Amendment Section
Division of Corporations

NAME OF CORPORATION: R. K. Advisors, Inc.

DOCUMENT NUMBER: _____

The enclosed *Articles of Amendment* and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Brian Kovack
(Name of Contact Person)

R. K. Advisors, Inc.
(Firm/ Company)

6451 N. Federal Hwy, #1201
(Address)

FT. Lauderdale, FL 33308
(City/ State and Zip Code)

For further information concerning this matter, please call:

Brian Kovack at (954) 782-4771
(Name of Contact Person) (Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount:

☐ \$35 Filing Fee

☐ \$43.75 Filing Fee &
Certificate of Status

☐ \$43.75 Filing Fee &
Certified Copy
(Additional copy is
enclosed)

☒ \$52.50 Filing Fee
Certificate of Status
Certified Copy
(Additional Copy
is enclosed)

Mailing Address

Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address

Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Articles of Amendment
to
Articles of Incorporation
of

FILED

2006 DEC 22 PM 12:01

RK Advisors, Inc.

(Name of corporation as currently filed with the Florida Dept. of State)

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

(Document number of corporation (if known))

Pursuant to the provisions of section 607.1006, Florida Statutes, this **Florida Profit Corporation** adopts the following amendment(s) to its Articles of Incorporation:

NEW CORPORATE NAME (if changing):

KOVACK Advisors, Inc.

(Must contain the word "corporation," "company," or "incorporated" or the abbreviation "Corp.," "Inc.," or "Co.")
(A professional corporation must contain the word "chartered", "professional association," or the abbreviation "P.A.")

AMENDMENTS ADOPTED- (OTHER THAN NAME CHANGE) Indicate Article Number(s) and/or Article Title(s) being amended, added or deleted: **(BE SPECIFIC)**

N/A

(Attach additional pages if necessary)

If an amendment provides for exchange, reclassification, or cancellation of issued shares, provisions for implementing the amendment if not contained in the amendment itself: (if not applicable, indicate N/A)

N/A

(continued)

The date of each amendment(s) adoption: 12/20/06

Effective date if applicable: 12.31.06
(no more than 90 days after amendment file date)

Adoption of Amendment(s) **(CHECK ONE)**

- ☒ The amendment(s) was/were approved by the shareholders. The number of votes cast for the amendment(s) by the shareholders was/were sufficient for approval.
- ☐ The amendment(s) was/were approved by the shareholders through voting groups. *The following statement must be separately provided for each voting group entitled to vote separately on the amendment(s):*

"The number of votes cast for the amendment(s) was/were sufficient for approval by
_____"
(voting group)

- ☐ The amendment(s) was/were adopted by the board of directors without shareholder action and shareholder action was not required.
- ☐ The amendment(s) was/were adopted by the incorporators without shareholder action and shareholder action was not required.

Signature Brian Kovack
(By a director, president or other officer - if directors or officers have not been selected, by an incorporator - if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

Brian Kovack
(Typed or printed name of person signing)

President
(Title of person signing)

FILING FEE: \$35