

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P03000038176

Entity Name: PENSON ASSOCIATES, INC.

FILED
Apr 10, 2012
Secretary of State

Current Principal Place of Business:

1435 E. PIEDMONT DRIVE
SUITE 101
TALLAHASSEE, FL 32308 US

New Principal Place of Business:

Current Mailing Address:

P.O. BOX 12802
PALM DESERT, CA 92255 US

New Mailing Address:

FEI Number: 03-0513132

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

PENSON, ALBERT
1435 EAST PIEDMONT DRIVE
SUITE 101
TALLAHASSEE, FL 32308 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CEO
Name: RINELLA, SAL
Address: P.O. BOX 12802
City-St-Zip: PALM DESERT, CA 92255

Title: PRES
Name: MOORE, JOHN W DR.
Address: 1634 MONARCH DRIVE
City-St-Zip: VENICE, FL 34293

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: /SAL RINELLA/

CEO

04/10/2012

Electronic Signature of Signing Officer or Director

Date