

2010 FOR PROFIT CORPORATION AMENDED ANNUAL REPORT

DOCUMENT# P03000038176

Entity Name: PENSON ASSOCIATES, INC.

FILED
Oct 06, 2010
Secretary of State

Current Principal Place of Business:

4941 ANNISTON CIRCLE
TAMPA, FL 33647 US

New Principal Place of Business:

1435 E. PIEDMONT DRIVE
SUITE 101
TALLAHASSEE, FL 32308 US

Current Mailing Address:

4941 ANNISTON CIRCLE
TAMPA, FL 33647 US

New Mailing Address:

1435 E. PIEDMONT DRIVE
SUITE 101
TALLAHASSEE, FL 32308 US

FEI Number: 03-0513132

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

PENSON, ALBERT
2810 REMINGTON GREEN CIR
TALLAHASSEE, FL 32308 US

Name and Address of New Registered Agent:

PENSON, ALBERT
1435 EAST PIEDMONT DRIVE
SUITE 101
TALLAHASSEE, FL 32308 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: /ALBERT C PENSON/

10/06/2010

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CEO
Name: MCFARLAND, DAVID E DR.
Address: 4941 ANNISTON CIRCLE
City-St-Zip: TAMPA, FL 33647

Title: PRES
Name: MOORE, JOHN W DR.
Address: 1634 MONARCH DRIVE
City-St-Zip: VENICE, FL 34293

Title: D
Name: RINELLA, SAL
Address: 197 DESERT FALLS DRIVE EAST
City-St-Zip: PALM DESERT, CA 92211

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: /DAVID E MCFARLAND/

CEO

10/06/2010

Electronic Signature of Signing Officer or Director

Date