

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P02782

FILED
Jan 05, 2012
Secretary of State

Entity Name: NORTHERN TRUST SECURITIES, INC.

Current Principal Place of Business:

50 S LASALLE ST
M-4
CHICAGO, IL 60603 US

New Principal Place of Business:

50 S LASALLE ST
B-12
CHICAGO, IL 60603 US

Current Mailing Address:

50 S LASALLE ST
M-4
CHICAGO, IL 60603 US

New Mailing Address:

50 S LASALLE ST
B-12
CHICAGO, IL 60603 US

FEI Number: 36-3010241

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: S
Name: KEMPERS, DAVID S
Address: 50 S. LASALLE STREET, M-9
City-St-Zip: CHICAGO, IL 60603 US

Title: D
Name: LOGAN, LYLE
Address: 50 S. LASALLE; M-23
City-St-Zip: CHICAGO, IL 60603 US

Title: D
Name: VARDAS, MICHAEL
Address: 50 S. LASALLE, B-12
City-St-Zip: CHICAGO, IL 60603 US

Title: D
Name: REES, BRETT
Address: 50 S. LASALLE, B-2
City-St-Zip: CHICAGO, IL 60603

Title: O
Name: GRIEWAHN, DEBRA
Address: 50 S. LASALLE, B-12
City-St-Zip: CHICAGO, IL 60603 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: DEBRA GRIEWAHN

O

01/05/2012

Electronic Signature of Signing Officer or Director

Date