

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P02616

FILED
Apr 25, 2012
Secretary of State

Entity Name: OPPENHEIMER & CO. INC.

Current Principal Place of Business:

125 BROAD STREET
16TH FLOOR
NEW YORK, NY 10004

New Principal Place of Business:

Current Mailing Address:

125 BROAD STREET
ATTN: DENNIS P MCNAMARA
NEW YORK, NY 10004

New Mailing Address:

FEI Number: 13-5657518

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CEO
Name: LOWENTHAL, ALBERT G
Address: 125 BROAD STREET 16TH FLOOR
City-St-Zip: NEW YORK, NY 10004

Title: CFO
Name: ALFANO, JEFFREY J
Address: 125 BROAD STREET 16TH FLOOR
City-St-Zip: NEW YORK, NY 10004

Title: SEC
Name: MCNAMARA, DENNIS P
Address: 125 BROAD STREET 16TH FLOOR
City-St-Zip: NEW YORK, NY 10004

Title: DIR
Name: ROBERTS, ELAINE K
Address: 125 BROAD STREET 16TH FLOOR
City-St-Zip: NEW YORK, NY 10004

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: DENNIS P. MCNAMARA

SEC

04/25/2012

Electronic Signature of Signing Officer or Director

Date