

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P02446

1. Entity Name

IBJ Whitehall Bank & Trust Company

Principal Place of Business

One State Street
New York, NY 10004

Mailing Address

One State Street
New York, NY 10004

2. Principal Place of Business

Suite, Apt. #, etc.

City & State

Zip

Country

3. Mailing Address

100 Maiden Lane

Suite, Apt. #, etc.

Attn: L. Libretto - CWT

City & State

New York, NY 10038

Zip

10038

Country

4. FEI Number

13-5375195

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

DO NOT WRITE IN THIS SPACE

6. Name and Address of Current Registered Agent

CT Corporation System
1200 South Pine Island Road
Plantation, FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so. ☐
(See criteria on back)

FILE NOW!!!

After MAY 1, 2001

Make Check Payable to Department of State

FEE IS \$150.00

Fee will be \$550.00

Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE ☐ Delete
NAME See Attached
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME
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CITY-ST-ZIP

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CITY-ST-ZIP

TITLE ☐ Delete
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CITY-ST-ZIP

TITLE ☐ Delete
NAME
STREET ADDRESS
CITY-ST-ZIP

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition
NAME See Attached
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
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TITLE ☐ Change ☐ Addition
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STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Alastair G.C. Merrick - ALASTAIR G.C. MERRICK - 5.10.2001

2128582076

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone

FILED

01 MAY 15 PM 1:39

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

1064

FILE

CR2E034 (11/00)

2064

IBJ WHITEHALL BANK & TRUST COMPANY

SENIOR OFFICERS

Alva O. Way	Chairman of the Board (Non-Executive)
Noboru Nagata	Vice Chairman
Donald H. McCree, Jr.	Vice Chairman
Dennis G. Buchert	President and Chief Executive Officer
Keith T. Darcy	Executive Vice President, Secretary and Director of Professional Services
Edward J. Fanning	Executive Vice President and President of IBJW Business Credit Corporation
Marc Keller	Executive Vice President
Alastair G. C. Merrick	Executive Vice President, Chief Financial Officer and Director of Operations
Constance R. O'Connell	Executive Vice President and Director of Risk Management
Warren J. Olsen	Executive Vice President and President of Whitehall Asset Management Group
Masahiro Watanabe	Executive Vice President
Lawrence S. Zilavy	Executive Vice President and President of Corporate Finance Group
John C. Duncan	Senior Managing Director
Peter Mace	Senior Managing Director and Senior Credit Officer of Corporate Finance Group
J. Christopher Mangan	Senior Managing Director
Merily McLaughlin	Senior Managing Director
Lisa R. Alper-McCawley	Senior Vice President
Paul A. Blaustein	Senior Vice President and Director of Research
Joseph E. Breslin	Senior Vice President
Mark A. Carlson	Senior Vice President and Controller
Paul B. Dankers	Senior Vice President
John V. Dunne	Senior Vice President and Director of Audit Services
Thomas Ehrhardt	Senior Vice President
Michael A. Felson	Senior Vice President
John J. Flannery	Senior Vice President
Gary Hett	Senior Vice President, Director of Human Resources and Managing Director of Professional Services
Joseph F. Jacovina	Senior Vice President and Director of Central Banking Services
Peter Kirby	Senior Vice President
Judith L. Levy	Senior Vice President

IBJ WHITEHALL BANK & TRUST COMPANY

3084

SENIOR OFFICERS

Thomas Mathews	Senior Vice President and Director of Treasury Services
Paul G. Nelson	Senior Vice President, Chief Compliance Officer and CRA Officer
Harvey E. Siegel	Senior Vice President
Steven A. Sonkin	Senior Vice President and Chief Technology Officer
Peter J. Dancy	Managing Director
James Dunleavy	Managing Director
Michael Graham	Managing Director
Anne Kuchinski	Managing Director
Patricia McCormack	Managing Director
Ethan D. Miller	Managing Director
Keith A. Murray	Managing Director

Address for all officers:

**One State Street
New York, New York 10004**

4064

IBJ WHITEHALL BANK & TRUST COMPANY

DIRECTORS

Alva O. Way

Chairman

IBJ Whitehall Bank & Trust Company
One State Street
New York, New York 10004

Edward J. Boudreau, Jr.

Managing Director

E. J. Boudreau & Associates, LLC
P.O. Box 350
Winchester, Massachusetts 01890

Noboru Nagata

Vice Chairman

IBJ Whitehall Bank & Trust Company
One State Street
New York, New York 10004

Richard A. Debs

Chairman

R. A. Debs & Co.
One Beekman Place - 7A
New York, New York 10022

Donald H. McCree, Jr.

Vice Chairman

IBJ Whitehall Bank & Trust Company
One State Street
New York, New York 10004

Vartan Gregorian

President

Carnegie Corporation of New York
437 Madison Avenue
New York, New York 10022

Dennis G. Buchert

President & Chief Executive Officer

IBJ Whitehall Bank & Trust Company
One State Street
New York, New York 10004

Allen J. Krowe

Vice Chairman of the Board (Retired)

Texaco Inc.
2000 Westchester Avenue
White Plains, New York 10650

Lilyan H. Affinito

599 Lexington Avenue, Suite 2300
New York, New York 10022

Shoji Noguchi

Managing Director & General Manager
The Industrial Bank of Japan, Limited
1251 Avenue of the Americas
New York, New York 10020-1104

Yasuharu Yoneda

General Manager, The Americas Division
The Industrial Bank of Japan, Limited
1251 Avenue of the Americas
New York, New York 10020-1104