

2000 UNIFORM BUSINESS REPORT (UBR)

APPROVED
AND
FILED

Pg 1 of 4

00 MAY -4 PM 1:05

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DO NOT WRITE IN THIS SPACE

DOCUMENT # P02446

1. Entity Name

IBJ Whitehall Bank & Trust Company

Principal Place of Business

One State Street
New York, NY 10004

Mailing Address

One State Street
New York, NY 10004

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-5375195

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

Banno, John A.
200 S. Biscayne Blvd., Suite 2650
Miami, Florida 33131

Name

CT Corporation System

Street Address (P.O. Box Number is Not Acceptable)

1200 South Pine Island Road

City

Plantation

FL

Zip Code

33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

Patrick A. Nolan

Assistant Secretary

4/27/2000

DATE

9. This corporation is eligible to satisfy its intangible

Tax filing requirement and elects to do so.

(See criteria on back)

☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete
NAME
STREET ADDRESS
CITY-ST-ZIP
See Attached

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP
See Attached

TITLE ☐ Delete
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP
100003260171-7
-05/19/00-01115-017
****150.00 ****150.00

TITLE ☐ Delete
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
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CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

KEITH T. DARCY

4/27/00 - 212-858-2752

Date

Daytime Phone #

CR2E034 (9/99)

Attachment
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As of 4/6/00

IBJ WHITEHALL BANK & TRUST COMPANY

SENIOR OFFICERS

Alva O. Way	Chairman of the Board (Non-Executive)
Haruhiko Takenaka	Vice Chairman
Donald H. McCree, Jr.	Vice Chairman
Dennis G. Buchert	President and Chief Executive Officer
Keith T. Darcy	Executive Vice President, Secretary and Director of Professional Services
Nicholas J. DeMeo	Executive Vice President and Chief Credit Officer
Edward J. Fanning	Executive Vice President and President of IBJW Business Credit Corporation
Mark Keller	Executive Vice President, Chief Investment Officer
Alastair G. C. Merrick	Executive Vice President, Chief Financial Officer and Director of Operations
Constance R. O'Connell	Executive Vice President and Director of Risk Management
Warren J. Olsen	Executive Vice President and President of Whitehall Asset Management Group
Paul A. Wan	Executive Vice President
Lawrence S. Zilavy	Executive Vice President and President of Corporate Finance Group
John C. Duncan	Senior Managing Director
J. Christopher Mangan	Senior Managing Director
Merily McLaughlin	Senior Managing Director
Mark H. Minter	Senior Managing Director
Tom Slaughter	Senior Managing Director
Jun Arima	Senior Vice President
Paul A. Blaustein	Senior Vice President and Director of Research
Joseph E. Breslin	Senior Vice President
Mark A. Carlson	Senior Vice President and Controller
Michelle Carson	Senior Vice President
Kevin J. Clarke	Senior Vice President
Sandra Davies	Senior Vice President
John V. Dunne	Senior Vice President and Director of Audit Services
Michael A. Felson	Senior Vice President
John J. Flannery	Senior Vice President
Julie A. Jackson	Senior Vice President and Director of Human Resources
Joseph F. Jacovina	Senior Vice President and Director of Central Banking Services

Attachment

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IBJ WHITEHALL BANK & TRUST COMPANY

SENIOR OFFICERS

Dennis M. Kennedy	Senior Vice President
Junichiro Koshi	Senior Vice President
Thomas Mathews	Senior Vice President and Director of Treasury Services
Paul G. Nelson	Senior Vice President, Chief Compliance Officer and CRA Officer
Thomas A. Pepe	Senior Vice President
Harvey E. Siegel	Senior Vice President
Steven A. Sonkin	Senior Vice President and Chief Technology Officer
Neal Bradsher	Managing Director
Jeffrey Coe	Managing Director
Peter J. Dancy	Managing Director
James Dunleavy	Managing Director
Patricia McCormack	Managing Director
Keith A. Murray	Managing Director
George Zombek	Managing Director

Address for all officers:

**One State Street
New York, NY 10004**

Attachment
702446
75. 4044

IBJ WHITEHALL BANK & TRUST COMPANY

DIRECTORS

Alva O. Way
Chairman
IBJ Whitehall Bank & Trust Company
One State Street
New York, NY 10004

Haruhiko Takenaka
Vice Chairman
IBJ Whitehall Bank & Trust Company
One State Street
New York, NY 10004

Donald H. McCree, Jr.
Vice Chairman
IBJ Whitehall Bank & Trust Company
One State Street
New York, NY 10004

Lilyan H. Affinito
870 United Nations Plaza (16-B)
New York, NY 10017

Dennis G. Buchert
President & Chief Executive Officer
IBJ Whitehall Bank & Trust Company
One State Street
New York, NY 10004

Edward J. Boudreau, Jr.
E.J. Boudreau Associates, LLC
P.O. Box 350
Winchester, MA 01890

Richard A. Debs
Chairman
R. A. Debs & Co.
One Beekman Place - 7A
New York, NY 10022

Vartan Gregorian
President
Carnegie Corporation of New York
437 Madison Avenue
New York, NY 10022

Allen J. Krowe
Texaco Inc.
2000 Westchester Avenue
White Plains, NY 10650

Shoji Noguchi
Managing Director & General Manager
The Industrial Bank of Japan, Limited
1251 Avenue of the Americas
New York, NY 10020-1104

Yasuharu Yoneda
General Manager, The Americas Div.
The Industrial Bank of Japan, Limited
1251 Avenue of the Americas
New York, NY 10020-1104