

2009 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P02081

FILED
Aug 04, 2009
Secretary of State

Entity Name: UVEST FINANCIAL SERVICES GROUP, INC.

Current Principal Place of Business:

200 SOUTH COLLEGE ST.
21 FLOOR
CHARLOTTE, NC 28202

New Principal Place of Business:

Current Mailing Address:

200 SOUTH COLLEGE ST.
21 FLOOR
CHARLOTTE, NC 28202

New Mailing Address:

FEI Number: 56-1210987

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

In accordance with s. 607.193(2)(b), F.S., the corporation did not receive the prior notice.

Election Campaign Financing Trust Fund Contribution ()

OFFICERS AND DIRECTORS:

Title: DCEO () Delete
Name: ARNOLD, DAN
Address: 200 S COLLEGE ST 21ST FLOOR
City-St-Zip: CHARLOTTE, NC 28202

Title: S () Delete
Name: PERRY, CHAD D
Address: ONE BEACON ST 22ND FLOOR
City-St-Zip: BOSTON, MA 02108

Title: SVP () Delete
Name: PAYNE, TERRI
Address: 200 S COLLEGE ST 21ST FLOOR
City-St-Zip: CHARLOTTE, NC 28202

Title: D () Delete
Name: CASADY, MARK S
Address: ONE BEACON ST 22ND FLOOR
City-St-Zip: BOSTON, MA 02108

Title: D (X) Delete
Name: STEARNS, ESTHER
Address: 9785 JOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121

Title: D (X) Delete
Name: MAHER, WILLIAM
Address: 9785 TOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: T (X) Change () Addition
Name: MOORE, ROBERT J
Address: 9785 TOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121

Title: D (X) Change () Addition
Name: DWYER III, WILLIAM E
Address: ONE BEACON STREET, 22ND FLOOR
City-St-Zip: BOSTON, MA 02108

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: CHAD D. PERRY

S

08/04/2009

Electronic Signature of Signing Officer or Director

Date