

PC2000082359

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

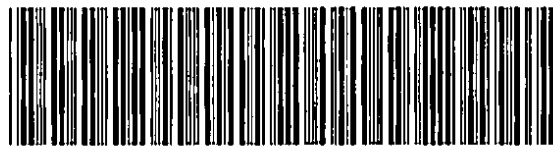
(Business Entity Name)

(Document Number)

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DEC 11 2020

**COVER LETTER**

TO: Amendment Section  
Division of Corporations

NAME OF CORPORATION: Dawson James Securities, Inc.

DOCUMENT NUMBER: P02000082359

The enclosed *Articles of Amendment* and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Kevin S. Kurtz

Name of Contact Person

Dawson James Securities, Inc.

Firm/ Company

101 North Federal Highway - Suite 600

Address

Boca Raton, Florida 33432

City/ State and Zip Code

kkurtz@dawsonjames.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Kevin S. Kurtz

Name of Contact Person

at ( 561 )

208-2907

Area Code & Daytime Telephone Number

Enclosed is a check for the following amount made payable to the Florida Department of State:

☐ \$35 Filing Fee

☒ \$43.75 Filing Fee &  
Certificate of Status

☐ \$43.75 Filing Fee &  
Certified Copy  
(Additional copy is  
enclosed)

☐ \$52.50 Filing Fee  
Certificate of Status  
Certified Copy  
(Additional Copy  
is enclosed)

**Mailing Address**

Amendment Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Street Address**

Amendment Section  
Division of Corporations  
The Centre of Tallahassee  
2415 N. Monroe Street, Suite 810  
Tallahassee, FL 32303

Articles of Amendment  
to  
Articles of Incorporation  
of

Dawson James Securities, Inc.

(Name of Corporation as currently filed with the Florida Dept. of State)

P02000082359

(Document Number of Corporation (if known))

Pursuant to the provisions of section 607.1006, Florida Statutes, this *Florida Profit Corporation* adopts the following amendment(s) to its Articles of Incorporation:

**A. If amending name, enter the new name of the corporation:**

*The new name must be distinguishable and contain the word "corporation," "company," or "incorporated" or the abbreviation "Corp.," "Inc.," or "Co.," or the designation "Corp.," "Inc.," or "Co." A professional corporation name must contain the word "chartered," "professional association," or the abbreviation "P.A."*

**B. Enter new principal office address, if applicable:**  
(Principal office address MUST BE A STREET ADDRESS)

101 North Federal Highway

Suite 600

Boca Raton, Florida 33432

**C. Enter new mailing address, if applicable:**  
(Mailing address MAY BE A POST OFFICE BOX)

101 North Federal Highway

Suite 600

Boca Raton, Florida 33432

**D. If amending the registered agent and/or registered office address in Florida, enter the name of the new registered agent and/or the new registered office address:**

Name of New Registered Agent \_\_\_\_\_

\_\_\_\_\_  
(Florida street address)

New Registered Office Address: \_\_\_\_\_, Florida \_\_\_\_\_  
(City) (Zip Code)

**New Registered Agent's Signature, if changing Registered Agent:**

*I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of the position.*

\_\_\_\_\_  
Signature of New Registered Agent, if changing

**Check if applicable**

☐ The amendment(s) is/are being filed pursuant to s. 607.0120 (11) (c), F.S.

**If amending the Officers and/or Directors, enter the title and name of each officer/director being removed and title, name, and address of each Officer and/or Director being added:**

*(Attach additional sheets, if necessary)*

*Please note the officer/director title by the first letter of the office title:*

*P = President; V= Vice President; T= Treasurer; S= Secretary; D= Director; TR= Trustee; C = Chairman or Clerk; CEO = Chief Executive Officer; CFO = Chief Financial Officer. If an officer/director holds more than one title, list the first letter of each office held. President, Treasurer, Director would be PTD.*

*Changes should be noted in the following manner. Currently John Doe is listed as the PST and Mike Jones is listed as the V. There is a change, Mike Jones leaves the corporation, Sally Smith is named the V and S. These should be noted as John Doe, PT as a Change, Mike Jones, V as Remove, and Sally Smith, SV as an Add.*

**Example:**

X Change                      PT      John Doe

X Remove                    V      Mike Jones

X Add                         SV      Sally Smith

<u>Type of Action</u> (Check One)	<u>Title</u>	<u>Name</u>	<u>Address</u>
1) <input type="checkbox"/> Change	_____	_____	_____
<input type="checkbox"/> Add			_____
<input type="checkbox"/> Remove			_____
2) <input type="checkbox"/> Change	_____	_____	_____
<input type="checkbox"/> Add			_____
<input type="checkbox"/> Remove			_____
3) <input type="checkbox"/> Change	_____	_____	_____
<input type="checkbox"/> Add			_____
<input type="checkbox"/> Remove			_____
4) <input type="checkbox"/> Change	_____	_____	_____
<input type="checkbox"/> Add			_____
<input type="checkbox"/> Remove			_____
5) <input type="checkbox"/> Change	_____	_____	_____
<input type="checkbox"/> Add			_____
<input type="checkbox"/> Remove			_____
6) <input type="checkbox"/> Change	_____	_____	_____
<input type="checkbox"/> Add			_____
<input type="checkbox"/> Remove			_____

**E. If amending or adding additional Articles, enter change(s) here:**

(Attach additional sheets, if necessary). (Be specific)

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

**F. If an amendment provides for an exchange, reclassification, or cancellation of issued shares, provisions for implementing the amendment if not contained in the amendment itself:**

(if not applicable, indicate N/A)

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The date of each amendment(s) adoption: October 15, 2020, if other than the date this document was signed.

Effective date if applicable: October 15, 2020  
(no more than 90 days after amendment file date)

Note: If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

Adoption of Amendment(s) (CHECK ONE)

☒ The amendment(s) was/were adopted by the incorporators, or board of directors without shareholder action and shareholder action was not required.

☐ The amendment(s) was/were adopted by the shareholders. The number of votes cast for the amendment(s) by the shareholders was/were sufficient for approval.

☐ The amendment(s) was/were approved by the shareholders through voting groups. The following statement must be separately provided for each voting group entitled to vote separately on the amendment(s):

"The number of votes cast for the amendment(s) was/were sufficient for approval

by \_\_\_\_\_"  
(voting group)

October 29, 2020  
Dated \_\_\_\_\_

Signature Kevin S. Kurtz  
(By a director, president or other officer – if directors or officers have not been selected, by an incorporator – if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

Kevin S. Kurtz

\_\_\_\_\_  
(Typed or printed name of person signing)

Secretary, Treasurer

\_\_\_\_\_  
(Title of person signing)



★ Official ★  
Change-of-Address  
Confirmation Letter  
★

**VERIFICATION REQUIRED**

Mail will be forwarded for all persons  
at the old address of the following business:  
DAWSON JAMES SECURITIES INC

Your mail will be forwarded to your  
NEW address, as you requested, on:  
OCT 9, 2020

**YOUR OLD ADDRESS**

DAWSON JAMES SECURITIES INC  
1 N FEDERAL HWY STE 500  
BOCA RATON FL 33432-3954

If you want to view or cancel this  
change-of-address order or change the  
date to start forwarding your mail, visit  
[managemymove.usps.com](http://managemymove.usps.com) and enter your  
Confirmation Code or scan the QR code to  
get started.

CONFIRMATION CODE:  
2027 6900 0041 7440



Please retain this Official Change-of-  
Address Confirmation page for your records  
as local agencies and/or resources may  
require it for proof of your move.

**Additional Business Alias Names**

Visit [managemymove.usps.com](http://managemymove.usps.com) to add your email  
address and receive email reminders of mail  
forwarding expiration dates. If your change-of-address  
is correct and you have not received mail at your new  
address for 10 Postal business days or more, please  
call 1-800-ASK-USPS (1-800-275-8777).

**YOUR NEW ADDRESS**

B792 00001125 00068997 1 1



DAWSON JAMES SECURITIES INC  
101 N FEDERAL HWY STE 600  
BOCA RATON FL 33432-3969

**MAIL FORWARDING EXPIRATION DATES**

First Class Mail <sup>®</sup> , Priority Mail <sup>®</sup> & Priority Express Mail <sup>®</sup>	Oct 9, 2021
Newspapers, Magazines	Dec 8, 2020
Packages <sup>1</sup>	Not Forwarded
Catalogs	Not Forwarded <sup>2</sup>
Standard Mail	Not Forwarded <sup>2</sup>

<sup>1</sup> Some restrictions apply. <sup>2</sup> Unless requested by mailer

Don't miss any mail! Extend your Mail Forwarding End Date now  
for \$19.95 for a 6-month extension, \$29.95 for a 12-month extension,  
or \$39.95 for a 18-month extension. To purchase Extended Mail  
Forwarding please go to [managemymove.usps.com](http://managemymove.usps.com).\*

**Extended Mail Forwarding**



\*To begin your extension process, please take this letter to your local USPS location.

**IMPORTANT MESSAGES FROM THE U.S. POSTAL SERVICE REGARDING YOUR MAIL FORWARDING REQUEST**

Yellow or White stickers with your new address are placed on mail  
forwarded by the U.S. Postal Service. These labels indicate the  
correspondent doesn't know your new address.

To receive your mail faster, notify the sender of your new address

