

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

3148830 MB

DOCUMENT # P01000079551

1. Entity Name
ROCKEFELLER RISK ADVISORS OF FLORIDA, INC.



Principal Place of Business
30 ROCKEFELLER PLAZA, ROOM 5600
NEW YORK NY 10112

Mailing Address
30 ROCKEFELLER PLAZA, ROOM 5600
NEW YORK NY 10112

2. Principal Place of Business

3. Mailing Address

121 River Street

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Hoboken, NJ

Zip

Country

Zip

07030-5794

Country

4. FEI Number 59-3738395

Applied For

Not Applicable

5. Certificate of Status Desired

\$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

DUDLEY, FRED R ESQ.
AKERMAN, SENTERFITT, P.A.
301 S. BRONOUGH ST., UNIT #200
TALLAHASSEE FL 32301

Name

Street Address (P.O. Box Number is Not Acceptable)

300023805713

10/15/03--01023--006 **750.00

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE Renee Haggerty
Signature, typed or printed name of registered agent and title, if applicable.
Assistant Secretary

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$550.00

After September 10, 2003 Fee will be \$750.00

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution.

\$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	MR. CAPOBIANCO, MARIO A VP ONE ROCKEFELLER PLAZA, SUITE 1502 NEW YORK NY 10020	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MS. DOLGAN, HARRIET VP ONE ROCKEFELLER PLAZA, SUITE 1502 NEW YORK NY 10020	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MR. PETRIZZI, JEROME M SVP ONE ROCKEFELLER PLAZA, SUITE 1502 NEW YORK NY 10020	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MR. COHEN, JOEL I CHAIR 30 ROCKEFELLER PLAZA, ROOM 5600 NEW YORK NY 10112	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MR. PINCUS, STEVEN I PRESIDE ONE ROCKEFELLER PLAZA, SUITE 1502 NEW YORK NY 10020	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MR. FOLEY, KEVIN K SVP ONE ROCKEFELLER PLAZA, SUITE 1502 NEW YORK NY 10020	☐ Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	President + CEO Peter F. Garvey 1166 Avenue of the Americas New York, NY 10036	☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Vice President Kenneth Padgett 1166 Avenue of the Americas New York, NY 10036	☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Treasurer Roger Szasngarten 1166 Avenue of the Americas New York, NY 10036	☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Secretary Barry W. Furst 1166 Avenue of the Americas New York, NY 10036	☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Director Roger E. Egan 1166 Avenue of the Americas New York, NY 10036	☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Director Walter Tomenson, Sr. 1166 Avenue of the Americas New York, NY 10036	☒ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

10/6/03

FILED
03 NOV 19 PM 12:51

SECRETARY OF STATE
TALLAHASSEE, FLORIDA



REINSTATEMENT 2003

☐ CHECK HERE IF MAKING CHANGES

CR2E034 (4/03)