

2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT# P01000079551

FILED
May 01, 2002 8:00 AM
Secretary of State

Entity Name: ROCKEFELLER RISK ADVISORS OF FLORIDA, INC.

Current Principal Place of Business:

30 ROCKEFELLER PLAZA, ROOM 5600
NEW YORK, NY 10112

New Principal Place of Business:

Current Mailing Address:

30 ROCKEFELLER PLAZA, ROOM 5600
NEW YORK, NY 10112

New Mailing Address:

FEI Number: 59-3738395

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

DUDLEY, FRED R ESQ.
AKERMAN, SENTERFITT, P.A.
301 S. BRONOUGH ST., UNIT #200
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so (X).

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: MR. () Change (X) Addition
Name: CAPOBIANCO, MARIO A VP
Address: ONE ROCKEFELLER PLAZA, SUITE 1502
City-St-Zip: NEW YORK, NY 10020

Title: MS. () Change (X) Addition
Name: DOLGAN, HARRIET VP
Address: ONE ROCKEFELLER PLAZA, SUITE 1502
City-St-Zip: NEW YORK, NY 10020

Title: MR. () Change (X) Addition
Name: PETRIZZI, JEROME M SVP
Address: ONE ROCKEFELLER PLAZA, SUITE 1502
City-St-Zip: NEW YORK, NY 10020

Title: MR. () Change (X) Addition
Name: COHEN, JOEL I CHAIR
Address: 30 ROCKEFELLER PLAZA, ROOM 5600
City-St-Zip: NEW YORK, NY 10112

Title: MR. () Change (X) Addition
Name: PINCUS, STEVEN I PRESIDE
Address: ONE ROCKEFELLER PLAZA, SUITE 1502
City-St-Zip: NEW YORK, NY 10020

Title: MR. () Change (X) Addition
Name: FOLEY, KEVIN K SVP
Address: ONE ROCKEFELLER PLAZA, SUITE 1502
City-St-Zip: NEW YORK, NY 10020

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: RENEE TREWICK

AVP

05/01/2002

Electronic Signature of Signing Officer or Director

Date

PAULA J. MUELLER

STANLEY F. COWAN, TREASURER
30 ROCKEFELLER PLAZA, SUITE 5600
NEW YORK, NY 10112

RENEE A. TREWICK, AVP
ONE ROCKEFELLER PLAZA, SUITE 1502
NEW YORK, NY 10020