

PO10000 72167

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

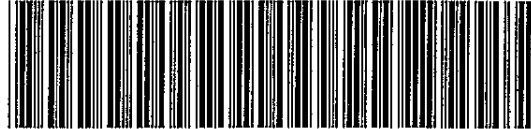
(Document Number)

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Certificates of Status ☒

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04 JAN 20 04 4:32  
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FBI/DOJ

Amend  
T. Lewis 1/28/04

**TRANSMITTAL LETTER**

TO: Amendment Section  
Division of Corporations

SUBJECT: ARTICLES OF AMENDMENT

DOCUMENT NUMBER: P01000072167

The enclosed *Articles of Amendment* and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

ARIANNE R. BRUPBACHER  
(Name of Person)

FIRSTTRUST ADVISORS, INC  
(Name of Firm/ Company)

807 S SWEETWATER BLVD  
(Address)

LONGWOOD FL 32779  
(City/ State/ and Zip Code)

For further information concerning this matter, please call:

ARIANNE R BRUPBACHER  
(Name of Person)

at ( 407 ) 782 1347  
(Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount:

☐ \$35 Filing Fee

☒ \$43.75 Filing Fee &  
Certificate of Status

☐ \$43.75 Filing Fee &  
Certified Copy  
(Additional copy is  
enclosed)

☒ \$52.50 Filing Fee  
Certificate of Status  
(Additional Copy  
is enclosed)

**Mailing Address**  
Amendment Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Street Address**  
Amendment Section  
Division of Corporations  
409 E. Gaines Street  
Tallahassee, FL 32399

Articles of Amendment to  
Articles of Incorporation of

FIRSTTRUST ADVISORS, INC.

(Name of corporation as currently filed with the Florida Dept. of State)

P01000072167

(Document number of corporation, if known)

Pursuant to the provisions of section 607.1006, Florida Statutes, this *Florida Profit Corporation* adopts the following amendment(s) to its articles of incorporation:

**NEW CORPORATE NAME (if changing):**

(must contain the word "corporation," "company," or "incorporated" or the abbreviation "Corp.," "Inc.," or "Co.")

**AMENDMENTS ADOPTED**- Indicate Article Number(s) and/or Article Title(s) being amended, added or deleted: **(BE SPECIFIC)**

ARTICLE IV INITIAL OFFICERS / DIRECTORS

ARIANNE R. BRUPBACHER, PRES/VP/TREAS/SEC/DIRECTOR

807 S. SWEETWATER BLVD

LONGWOOD, FL 32779

(Attach additional pages if necessary)

If an amendment provides for exchange, reclassification, or cancellation of issued shares, provisions for implementing the amendment if not contained in the amendment itself: (if not applicable, indicate N/A)

N/A

(continued)

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04 JAN 20 PM 4:32  
SECRETARY OF STATE  
TALLAHASSEE, FL

The date of each amendment(s) adoption: DECEMBER 31, 2003

Effective date, if applicable: DECEMBER 31, 2003  
(no more than 90 days after amendment file date)

**Adoption of Amendment(s) (CHECK ONE)**

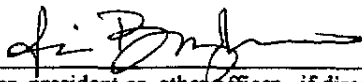
- ☐ The amendment(s) was/were approved by the shareholders. The number of votes cast for the amendment(s) by the shareholders was/were sufficient for approval.
- ☒ The amendment(s) was/were approved by the shareholders through voting groups. *The following statement must be separately provided for each voting group entitled to vote separately on the amendment(s):*

"The number of votes cast for the amendment(s) was/were sufficient for approval by ARIANNE R BRUPBACHER."  
(voting group)

- ☐ The amendment(s) was/were adopted by the board of directors without shareholder action and shareholder action was not required.
- ☐ The amendment(s) was/were adopted by the incorporators without shareholder action and shareholder action was not required.

Signed this 31 day of December, 2003.

Signature



(By a director, president or other officer - if directors or officers have not been selected, by an incorporator - if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

ARIANNE R BRUPBACHER  
(Typed or printed name of person signing)

DIRECTOR  
(Title of person signing)

**FILING FEE: \$35**