

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 03, 2003 8:00 am
Secretary of State

04-03-2003 90202 041 ***150.00

0614360 AT

DOCUMENT # P00331

1. Entity Name

NATHAN & LEWIS SECURITIES, INC.



Principal Place of Business

**260 MADISON AVENUE
10TH FLOOR
NEW YORK NY 10016
US**

Mailing Address

**C/O CUMBERLAND LICENSING
P O BOX 7543
CUMBERLAND RI 02864
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3544126

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

☐ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

**PRENTICE-HALL CORPORATION SYSTEM, INC.
110 NORTH MAGNOLIA STREET
TALLAHASSEE FL 32301**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00

After May 1, 2003 Fee will be \$550.00

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVP/ LARKIN, SHERWOOD 146-15 28TH AVENUE FLUSHING NY 11354	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD LEWIS, JAY L. 525 WEST END AVENUE NEW YORK NY 10024	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SEC LARKIN, SHERWOOD 146-15 28TH AVENUE FLUSHING NY 11354	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AVP DECKER, DAVID 8 JEFFERSON ROAD EAST BRUNSWICK NJ 08816	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	ATR HARRISON, GREGORY M 10 ANN DRIVE NORTH FREEPORT NY 11520	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	TREA LARKIN, SHERWOOD 146-15 28TH AVENUE FLUSHING NY 11354	<input type="checkbox"/> Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	See attached list	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
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TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Richard Bronger **Richard Bronger** 3/27/03 (212) 413-4531

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/02)

Nathan & Lewis Securities, Inc.
Officer/Director List

Name	Title	Business Address
Craig Markham	Vice President	260 Madison Avenue, New York, NY 10015
David Decker	Vice President	260 Madison Avenue, New York, NY 10016
Dick Miller	President/Treasurer/Chairman	260 Madison Avenue, New York, NY 10016
Gregory M. Harrison	Assistant Treasurer	260 Madison Avenue, New York, NY 10016
Jon Rozek	Vice President	260 Madison Avenue, New York, NY 10015
Leo R. Brown	Assistant Treasurer	260 Madison Avenue, New York, NY 10015
Melanie Pierce	Secretary	260 Madison Avenue, New York, NY 10015
Molly Diggins	Assistant Secretary	260 Madison Avenue, New York, NY 10015
Paul Hipworth	Asst. V. Pres	260 Madison Avenue, New York, NY 10016
Richard Berenger	Assistant Secretary	260 Madison Avenue, New York, NY 10016
Robert Costello	Asst. V. Pres.	260 Madison Avenue, New York, NY 10016
Robert Martz	Vice President	260 Madison Avenue, New York, NY 10016
Robert Peterson	CFO/Vice Pres.	260 Madison Avenue, New York, NY 10015
Roger Pacheco	Asst. Vice Pres.	260 Madison Avenue, New York, NY 10016
Sean McNamara	Assistant Vice President	260 Madison Avenue, New York, NY 10016
Steven J. Brash	Assistant Treasurer	260 Madison Avenue, New York, NY 10015
Virgel Aquino	Vice President	260 Madison Avenue, New York, NY 10016

Attachment
10056710
P00331