

2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT# P00331

FILED
Apr 09, 2002 8:00 AM
Secretary of State

Entity Name: NATHAN & LEWIS SECURITIES, INC.

Current Principal Place of Business:

260 MADISON AVENUE
NEW YORK, NY 10016 US

New Principal Place of Business:

260 MADISON AVENUE
10TH FLOOR
NEW YORK, NY 10016 US

Current Mailing Address:

C/O CUMBERLAND LICENSING
P O BOX 7543
CUMBERLAND, RI 02864 US

New Mailing Address:

FEI Number: 13-3544126 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()

Name and Address of Current Registered Agent:

PRENTICE-HALL CORPORATION SYSTEM, INC.
110 NORTH MAGNOLIA STREET
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so ().
Election Campaign Financing Trust Fund Contribution (X).

OFFICERS AND DIRECTORS:

Title: EVP () Delete
Name: LARKIN, SHERWOOD
Address: 146-15 28TH AVENUE
City-St-Zip: FLUSHING, NY 11354

Title: PD () Delete
Name: LEWIS, JAY L.,
Address: 525 WEST END AVENUE
City-St-Zip: NEW YORK, NY 10024

Title: DVST () Delete
Name: WIRTSHAFTER, TOM,
Address: 72 BERKSHIRE ROAD
City-St-Zip: ROCKVILLE CENTRE, NY 11570

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: EVP/ (X) Change () Addition
Name: LARKIN, SHERWOOD
Address: 146-15 28TH AVENUE
City-St-Zip: FLUSHING, NY 11354

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: SEC (X) Change () Addition
Name: LARKIN, SHERWOOD
Address: 146-15 28TH AVENUE
City-St-Zip: FLUSHING, NY 11354

Title: AVP () Change (X) Addition
Name: DECKER, DAVID
Address: 8 JEFFERSON ROAD
City-St-Zip: EAST BRUNSWICK, NJ 08816

Title: ATR () Change (X) Addition
Name: HARRISON, GREGORY M
Address: 10 ANN DRIVE
City-St-Zip: NORTH FREEPORT, NY 11520

Title: TREA () Change (X) Addition
Name: LARKIN, SHERWOOD
Address: 146-15 28TH AVENUE
City-St-Zip: FLUSHING, NY 11354

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: JAY L. LEWIS

PRES

04/09/2002

Electronic Signature of Signing Officer or Director

_____ Date

STEVEN J. BRASH, ASST TREASURER
332 EAST 84TH ST., APT. 5B
NEW YORK, NY 10028

ROGER PACHECO, ASST VP
328 EAST 74TH STREET
NEW YORK, NY 10021

ROBERT PETERSON, CFO, VPRES
80 ROLLING HILLS ROAD
CLIFTON, NJ 07013

RICHARD BERENGER, ASST. SECRETARY
45 DUSTMAN LANE
BARDONIA, NY 10954

LEO R. BROWN, ASST. TREASURER
20 VALLEY ROAD
GLENROCK, NJ 07452

LEO R. BROWN