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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**2003 FOR PROFIT CORPORATION  
UNIFORM BUSINESS REPORT (UBR)**

<b>DOCUMENT # P00261</b>			
1. Entity Name <b>NYLIFE SECURITIES, INC.</b>			
Principal Place of Business 51 MADISON AVENUE NEW YORK, NY 10010 US		Mailing Address 51 MADISON AVE. RM 2210 NEW YORK, NY 10010	
2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc.	
City & State		City & State	
Zip	Country	Zip	Country
4. FEI Number <b>13-2649692</b>		Applied For <input type="checkbox"/> Not Applicable	
5. Certificate of Status Desired <input type="checkbox"/>		\$8.75 Additional Fee Required	
6. Name and Address of Current Registered Agent <b>C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND RD. PLANTATION, FL 33324</b>		7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City <b>FL</b> Zip Code	
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.			
SIGNATURE _____ <small>Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when submitting)</small> DATE _____			
<b>FILE NOW! FEE IS \$160.00</b> <small>After May 1, 2003 Fee will be \$550.00 Make Check Payable to Florida Department of State</small>		9. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/> \$5.00 May Be Added to Fees	
10. OFFICERS AND DIRECTORS		11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-STATE-ZIP	D HILDEBRAND, PHILLIP 51 MADISON AVE NEW YORK, NY 10010 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-STATE-ZIP	D GOLDFINGER, SOLOMON 51 MADISON AVE. NEW YORK, NY 10010 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-STATE-ZIP	S GOMEZ, MARK 51 MADISON AVENUE NEW YORK, NY 10010 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-STATE-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 507, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.			
SIGNATURE: <u>Mark Meiorowitz</u>		<b>MARK MEIROWITZ</b> ASSISTANT SECRETARY	
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR		Date Daytime Phone #	

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08/25/03--01007--014 \*\*150.00



☐ CHECK HERE IF MAKING CHANGES

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NYLIFE Securities Inc.

80113144  
700261 3/3

List of Directors and Officers as of 4/30/03 (continued)

Mitchell P. Ascione**	Assistant Vice President
Joseph Hersch**	Assistant Vice President
Patricia Loftus**	Assistant Vice President and Controller
Christopher Maryanopolis**	Assistant Vice President
Stephen Brennan	Assistant Secretary
Maurya C. Keating	Assistant Secretary
Mark Meiowitz	Assistant Secretary

Address: 51 Madison Avenue  
New York, New York

\* 169 Lackawanna Avenue  
Parsippany, New Jersey

\*\* 335 Madison Avenue  
New York, New York

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## **NYLIFE Securities Inc.**

List of Directors and Officers as of April 30, 2003

### Directors

John A. Cullen  
Solomon Goldfinger  
Phillip J. Hildebrand  
Maryann Ingenito  
Theodore A. Mathas  
Anne F. Pollack  
Gerard A. Rocchi  
Stephen C. Roussin\*  
Frederick J. Sievert

### Officers

Gerard A. Rocchi	Chairman
Michael Leahy**	President & Chief Executive Officer
Daniel J. Young	Executive Vice President and Chief of Staff
Jay S. Calhoun	Senior Vice President & Treasurer
Michael G. Gallo	Senior Vice President
Barbara McInerney	Senior Vice President & Chief Compliance Officer
Paul B. Morris	Senior Vice President
Michael W. Reeves	Senior Vice President
Robert D. Rock	Senior Vice President
Robert E. Brady*	Vice President
Mark A. Gomez	Vice President and Secretary
Maryann Ingenito	Vice President
Linda M. Livornese	Vice President
Penny Nelson	Vice President
Carol Springsteen	Vice President
Richard W. Zuccaro	Vice President
Yasser Abdelfattah**	Corporate Vice President
Nicholas M. Franki	Corporate Vice President
Rafaela M. Herrera	Corporate Vice President
Thomas J. Murray	Corporate Vice President
Jim Mellbye	Corporate Vice President
Joseph W. O'Neill	Corporate Vice President & Chief Financial Officer