

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
Mar 06, 1999 8:00 am
Secretary of State

03-06-1999 90114 015 ***150.00

DOCUMENT # P00261

1. Corporation Name
NYLIFE SECURITIES, INC.

Principal Place of Business

51 MADISON AVENUE
NEW YORK NY 10010
US

Mailing Address

51 MADISON AVE.
ROOM 2210
NEW YORK NY 10010

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

12/13/1983

4. FEI Number

13-2649692

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution ☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax. ☒ Yes ☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

23 City & State

24 Zip Country

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

29 Zip Country

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND RD.
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE	D	<input type="checkbox"/> DELETE
NAME	DINIRO, JOHN J.	
STREET ADDRESS	51 MADISON AVE	
CITY-ST-ZIP	NEW YORK NY 10010	
TITLE	D	<input type="checkbox"/> DELETE
NAME	POLIS, ANTHONY W.	
STREET ADDRESS	2 LINCOLN AVE.	
CITY-ST-ZIP	MASSAPEQUA PARK NY	
TITLE	D	<input type="checkbox"/> DELETE
NAME	HILDEBRAND, PHILLIP	
STREET ADDRESS	51 MADISON AVE	
CITY-ST-ZIP	NEW YORK NY 10010	
TITLE	D	<input checked="" type="checkbox"/> DELETE
NAME	WEBSTER, GREGORY	
STREET ADDRESS	260 CHERRY HILL ROAD	
CITY-ST-ZIP	PARSIPPANY NJ 07054	
TITLE	S	<input checked="" type="checkbox"/> DELETE
NAME	BRENNER, NANCY	
STREET ADDRESS	51 MADISON AVENUE	
CITY-ST-ZIP	NEW YORK NY	
TITLE	V	<input type="checkbox"/> DELETE
NAME	O'BYRNE, JOHN H.	
STREET ADDRESS	159 DEER RUN RD.	
CITY-ST-ZIP	WILTON CT	

1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
1.2 NAME	
1.3 STREET ADDRESS	
1.4 CITY-ST-ZIP	
2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
2.2 NAME	
2.3 STREET ADDRESS	
2.4 CITY-ST-ZIP	
3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
3.2 NAME	
3.3 STREET ADDRESS	
3.4 CITY-ST-ZIP	
4.1 TITLE	Director <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
4.2 NAME	Solomon Goldfinger
4.3 STREET ADDRESS	51 Madison Avenue
4.4 CITY-ST-ZIP	New York, NY 10010
5.1 TITLE	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
5.2 NAME	Secretary Mark Gomez
5.3 STREET ADDRESS	51 Madison Avenue
5.4 CITY-ST-ZIP	New York, NY 10010
6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
6.2 NAME	
6.3 STREET ADDRESS	
6.4 CITY-ST-ZIP	

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Louis H. Adasse

1/21/99

(212) 576-7410

Date

Daytime Phone #

CR2E034 (1/98)

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P00261

NYLIFE Securities Inc.

51 Madison Avenue, New York, NY 10010

Tele: (212) 576-5353 Fax: (212) 447-4196

Incorporated State: New York
Incorporated Date: 12/24/1969
Business Division: Subsidiary
Parent Entity: NYLIFE Inc. (100%)
Federal ID #: 13-2649692
Business Contact(s): Theodore A. Mathas, (212) 351-6008
General Attorney: Mark Gomez, ext. 6910
Corporate Attorney: Mark Gomez, ext. 6910

<i>Directors</i>	<i>Title</i>
John J. DiNiro	Director
Solomon Goldfinger	Director
Phillip J. Hildebrand	Director
Richard M. Kernan, Jr.	Director
Richard D. Levy	Director
Theodore A. Mathas	Director
Anthony W. Polis	Director
Stephen C. Roussin	Director
Frederick J. Sievert	Director

<i>Officers</i>	<i>Title</i>
Theodore A. Mathas	President and Chief Executive Officer
Sheila K. Davidson	Chief Compliance Officer
Jean O'Sullivan	Chief Financial Officer
John J. DiNiro	Senior Vice President
Robert D. Rock	Senior Vice President
Thomas J. Warga	Senior Vice President and General Auditor
Robert E. Brady	Vice President
Jay S. Calhoun	Vice President and Treasurer
Linda M. Livornese	Vice President
John H. O'Byrne	Vice President
Anthony W. Polis	Vice President and Chief Financial Officer
Richard W. Zuccaro	Tax Vice President
Louis H. Adasse	Corporate Vice President
Kevin M. Micucci	Corporate Vice President
Thomas J. Murray	Corporate Vice President
A. Paul Olson	Corporate Vice President

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NYLIFE Securities Inc. (continued)

<i>Officers (continued)</i>	<i>Title</i>
George R. Daoust	Assistant Vice President
Joseph W. O'Neill	Assistant Vice President
Mark A. Gomez	Secretary
Ronald M. Jamison	Assistant Secretary
Lori S. Whittaker	Assistant Secretary
Catherine Marrion	Assistant Secretary

<i>Executive Committee</i>	<i>Title</i>
Anthony W. Polis	Committee Member

Brief Description:

A registered broker/dealer and a subsidiary of NYLIFE Inc. It was created to distribute variable insurance products, the sale of which is regulated by the Securities and Exchange Commission, the National Association of Securities Dealers, Inc. and state regulatory authorities. It currently distributes variable life insurance, multi-funded annuities, and mutual funds. Through arrangements with clearing firms, NYLIFE Securities also acts as an introducing broker for retail general securities transactions and for certain portfolio transactions. It was incorporated in New York on December 24, 1969, and is registered as a broker/dealer with the SEC, all 50 states and the District of Columbia.