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Feb 18 1997 8:00am
Secretary of State

PROFIT
CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # P00261 (8)

1. Corporation Name
NYLIFE SECURITIES, INC.

Principal Place of Business
260 CHERRY HILL RD.
PARSIPPANY NJ 07054

Mailing Address
51 MADISON AVE.
ROOM 2210
NEW YORK NY 10010-1803



3. Date Incorporated or Qualified
12/13/1983

3a. Date of Last Report
04/20/1996

4. FEI Number
13-2649692

Applied For
Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Election Campaign Financing Trust Fund Contribution ☐ \$5.00 May Be Added to Fees

8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☒ Yes ☐ No

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

22 City & State

27 City & State

23 Zip

Country

28 Zip

Country

24

25

29

30

9. Name and Address of Current Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM INC.
1201 HAYS STREET
SUITE 105
TALLAHASSEE FL 32301

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE D
NAME GAMMILL, JR., LEE M.
STREET ADDRESS 200 E. 68 ST. APT. 2103
CITY-ST-ZIP NEW YORK NY ☒ DELETE

1.1 TITLE D
1.2 NAME Kane, Alice T.
1.3 STREET ADDRESS 51 Madison Ave
1.4 CITY-ST-ZIP New York NY ☐ Change ☒ Addition

TITLE D
NAME POLIS, ANTHONY W.
STREET ADDRESS 2 LINCOLN AVE.
CITY-ST-ZIP MASSAPEQUA PARK NY ☐ DELETE

2.1 TITLE
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE D
NAME TOPP, RICHARD A.
STREET ADDRESS 29 JOSEPH STREET
CITY-ST-ZIP ENGLISHTOWN NJ ☒ DELETE

3.1 TITLE D
3.2 NAME Boyce, Jefferson C.
3.3 STREET ADDRESS 51 Madison Ave
3.4 CITY-ST-ZIP New York NY ☐ Change ☒ Addition

TITLE D
NAME UBL, WALTER
STREET ADDRESS 51 MADISON AVE
CITY-ST-ZIP NEW YORK NY ☐ DELETE

4.1 TITLE
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE S
NAME BRENNER, NANCY
STREET ADDRESS 51 MADISON AVENUE
CITY-ST-ZIP NEW YORK NY ☐ DELETE

5.1 TITLE
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE V
NAME O'BYRNE, JOHN H.
STREET ADDRESS 159 DEER RUN RD.
CITY-ST-ZIP WILTON CT ☐ DELETE

6.1 TITLE
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP ☐ Change ☐ Addition

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

2-12-96

John H. O'Byrne (212) 576-6556

Date Daytime Phone #

CR2E034 (9/96)

NYLIFE Securities Inc.

300 Interpace Parkway, Parsippany, NJ 07054

Tele: (201) 299-5804 Fax: (201) 316-4793

Incorporated State: New York
Incorporated Date: 12/24/1969
Business Division: Subsidiary
Parent Entity: NYLIFE Inc. (100%)
Federal ID #: 13-2649692
Business Contact(s): Jefferson C. Boyce, Parsippany office, ext. 842456
General Attorney: Sheila K. Davidson, ext. 5353
Corporate Attorney: Nancy Brenner, ext. 5737

<i>Directors</i>	<i>Title</i>
Jefferson C. Boyce	Director
Alice T. Kane	Director
Richard M. Kernan, Jr.	Director
Anthony W. Polis	Director
Robert D. Rock	Director
Lester L. Schoenberg	Director
Frederick J. Sievert	Director
Walter W. Ubl	Director
Thomas J. Warga	Director

<i>Officers</i>	<i>Title</i>
Jefferson C. Boyce	President and Chief Executive Officer
Gregory H. Webster	Chief Operating Officer
Robert D. Rock	Senior Vice President
Walter W. Ubl	Senior Vice President
Thomas J. Warga	Senior Vice President and General Auditor
Robert E. Brady	Vice President
Jay S. Calhoun	Vice President and Treasurer
Stanley Liss	Vice President
Linda M. Livornese	Vice President
Frank Mistero	Vice President
John H. O'Byrne	Vice President and Chief Compliance Officer
Anthony W. Polis	Vice President and Chief Financial Officer
Richard W. Zuccaro	Tax Vice President
Louis H. Adasse	Corporate Vice President
Kevin M. Micucci	Corporate Vice President
Thomas J. Murray	Corporate Vice President
A. Paul Olson	Corporate Vice President
Robert Ziegler	Corporate Vice President

NYLIFE Securities Inc. (continued)

Officers (continued) Title

George R. Daoust	Assistant Vice President
Joseph O'Neill	Assistant Vice President
Nancy Brenner	Secretary
Sheila K. Davidson	Assistant Secretary
Mark A. Gomez	Assistant Secretary

Executive Committee Title

Alice T. Kane	Committee Member
Anthony W. Polis	Committee Member

Brief Description:

A registered broker/dealer and a subsidiary of NYLIFE Inc. It was created to distribute variable insurance products, the sale of which is regulated by the Securities and Exchange Commission. It currently distributes variable life insurance, multi-funded annuities, mutual funds, and direct participation programs. NYLIFE Securities has entered into arrangements with clearing firms whereby it acts as an introducing broker for retail general securities transactions and for certain portfolio transactions. It was incorporated in New York on December 24, 1969, and is registered as a broker/dealer with the SEC, all 50 states and the District of Columbia.