

2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P00000113160

FILED
Jan 12, 2010
Secretary of State

Entity Name: GUGGENHEIM INVESTMENTS, INC.

Current Principal Place of Business:

199 EAST FLAGLER STREET
2200
MIAMI, FL 33131

New Principal Place of Business:

Current Mailing Address:

199 EAST FLAGLER STREET
2200
MIAMI, FL 33131

New Mailing Address:

FEI Number: 65-1069229 **FEI Number Applied For ()** **FEI Number Not Applicable ()** **Certificate of Status Desired ()**

Name and Address of Current Registered Agent:

KLEIN, BRENT D
701 BRICKELL AVENUE
STE. 1900
MIAMI, FL 33131 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: P
Name: GUGGENHEIM, JOSE
Address: 199 EAST FLAGLER STREET
City-St-Zip: MIAMI, FL 33131 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOSE GUGGENHEIM

CEO

01/12/2010

Electronic Signature of Signing Officer or Director

Date