

2009 FOR PROFIT CORPORATION REINSTATEMENT

DOCUMENT# P00000113160

Entity Name: GUGGENHEIM INVESTMENTS, INC.

FILED
Nov 04, 2009
Secretary of State

Current Principal Place of Business:

169 EAST FLAGLER STREET
637
MIAMI, FL 33131

Current Mailing Address:

169 EAST FLAGLER STREET
637
MIAMI, FL 33131

New Principal Place of Business:

199 EAST FLAGLER STREET
2200
MIAMI, FL 33131

New Mailing Address:

199 EAST FLAGLER STREET
2200
MIAMI, FL 33131

FEI Number: 65-1069229

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

KLEIN, BRENT D
701 BRICKELL AVENUE
STE. 1900
MIAMI, FL 33131 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: BRENT KLEIN

Electronic Signature of Registered Agent

Date

In accordance with s. 607.193(2)(b), F.S., the corporation did not receive the prior notice.

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: P () Delete
Name: GUGGENHEIM, JOSE
Address: 169 EAST FLAGLER STREET, STE. 637
City-St-Zip: MIAMI, FL 33131 US

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: P (X) Change () Addition
Name: GUGGENHEIM, JOSE
Address: 199 EAST FLAGLER STREET
City-St-Zip: MIAMI, FL 33131 US

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: JOSE GUGGENHEIM

CEO

11/04/2009

Electronic Signature of Signing Officer or Director

Date