

# 2004 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P00000113160

FILED  
Jan 12, 2004  
Secretary of State

Entity Name: GUGGENHEIM INVESTMENTS, INC.

## Current Principal Place of Business:

2507 COUNTRY CLUB PRADO  
CORAL GABLES, FL 33134

## New Principal Place of Business:

199 EAST FLAGLER STREET  
2200  
MIAMI, FL 33131

## Current Mailing Address:

2507 COUNTRY CLUB PRADO  
CORAL GABLES, FL 33134

## New Mailing Address:

199 EAST FLAGLER STREET  
2200  
CORAL GABLES, FL 33131

FEI Number: 65-1069229

FEI Number Applied For ( )

FEI Number Not Applicable ( )

Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

KLEIN, BRENT D  
801 BRICKELL AVE., STE. 1901  
MIAMI, FL 33131 US

## Name and Address of New Registered Agent:

KLEIN, BRENT D  
TWO ALHAMBRA PLAZA  
PH - 2B  
CORAL GABLES, FL 33134 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

01/12/2004

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: PD ( ) Delete  
Name: GUGGENHEIM, JOSE  
Address: 2507 COUNTRY CLUB PRADO  
City-St-Zip: CORAL GABLES, FL 33134

Title: ( ) Delete  
Name:  
Address:  
City-St-Zip:

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: PD (X) Change ( ) Addition  
Name: GUGGENHEIM, JOSE  
Address: 2507 COUNTRY CLUB PRADO  
City-St-Zip: CORAL GABLES, FL 33134 US

Title: VP ( ) Change (X) Addition  
Name: ARGUELLO, GUILLERMO J  
Address: 921 TANGIER STREET  
City-St-Zip: CORAL GABLES, FL 33134 US

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: JOSE GUGGENHEIM

P

01/12/2004

Electronic Signature of Signing Officer or Director

Date