

NO7000011040

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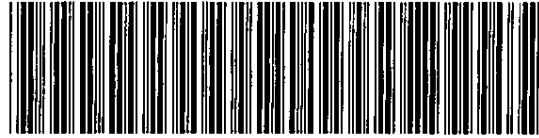
(Business Entity Name)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

NOV 15 2007

**COVER LETTER**

Department of State  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

**SUBJECT:** American Association of Professional Technical Analysts Foundation, Inc.  
(PROPOSED CORPORATE NAME - MUST INCLUDE SUFFIX)

Enclosed is an original and one(1) copy of the Articles of Incorporation and a check for :

\$70.00  
Filing Fee

\$78.75  
Filing Fee &  
Certificate of  
Status

\$78.75  
Filing Fee  
& Certified Copy

\$87.50  
Filing Fee,  
Certified Copy  
& Certificate

**ADDITIONAL COPY REQUIRED**

FROM: Paul F. Desmond  
Name (Printed or typed)

1201 US Highway One, Suite 250  
Address

North Palm Beach, FL 33408  
City, State & Zip

(561) 799-1889  
Daytime Telephone number

**NOTE: Please provide the original and one copy of the articles.**

# ARTICLES OF INCORPORATION

In Compliance with Chapter 617, F.S., (Not for Profit) and IRS Code Section 501(c)(3)

## ARTICLE I NAME

The name of the corporation shall be:

American Association of Professional Technical Analysts Foundation, Inc.

## ARTICLE II PRINCIPAL OFFICE

The principal place of business and mailing address of this corporation shall be:

1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408

## ARTICLE III PURPOSE

The purpose for which the corporation is organized is:

Exclusively for educational purposes: to build a lending library of books and materials related to technical analysis; to sponsor educational forums and training seminars; to broaden the knowledge and understanding of technical analysis; including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code, or the corresponding section of any future federal tax code.

## ARTICLE IV LIMITATIONS

(A) No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of or in opposition to any candidate for public office. The Foundation shall not engage directly or indirectly in any political activity, except in cases which directly affect the use of technical analysis or directly affect the functioning of the Foundation.

(B) Notwithstanding any other provision of these articles, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code, or the corresponding section of any future federal tax code, or (b) by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code, or the corresponding section of any future federal tax code.

(C) No part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its members, trustees, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article III hereof.

(D) No endorsement or official approval of any investment services, investment products or investment publications will be permitted.

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**ARTICLE V MANNER OF ELECTION**

The manner in which the directors are elected or appointed:

Directors of the Foundation shall be the same persons elected as the directors of the American Association of Professional Technical Analysts, Inc. who are elected by the vote of the membership at the Association's annual meeting, as detailed in the Bylaws of the Foundation.

**ARTICLE VI INITIAL DIRECTORS AND OFFICERS**

The initial Directors of the Foundation are as follows:

President/Director:	Linda Raschke	1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408
Vice Pres/Director:	Cay Lee	1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408
Secretary/Director:	Tracy Knudsen	1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408
Treasurer/Director:	Veronique Lashinski	1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408
Director:	Bijan Khezri	1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408
Director:	Dave Steckler	1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408
Director:	Peter Mauthe	1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408

**ARTICLE VII DISSOLUTION**

Upon the dissolution of the corporation, assets shall be distributed for one or more exempt purposes within the meaning of section 501(c)(3) of the Internal Revenue Code, or the corresponding section of any future federal tax code, or shall be distributed to the federal government, or to a state or local government, for a public purpose. Any such assets not so disposed of shall be disposed of by a Court of Competent Jurisdiction of the county in which the principal office of the corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

**ARTICLE VIII INITIAL REGISTERED AGENT AND STREET ADDRESS**

The name and Florida street address of the registered agent is:


Paul F. Desmond 1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408

**ARTICLE IX INCORPORATOR**

The name and Florida street address of the Incorporator is:


Paul F. Desmond 1201 U.S. Highway One, Suite 250, North Palm Beach, FL 33408

In Witness Whereof, we have hereunto subscribed our names this 30<sup>th</sup> day of October, 2007.

  
\_\_\_\_\_  
Signature Incorporator

Nov 7, 2007  
Date

\*\*\*\*\*  
Having been named as Registered Agent to accept service of process for the above stated corporation at the place designated in this certificate, I am familiar with and accept the appointment as Registered Agent and agree to act in this capacity.

  
\_\_\_\_\_  
Signature Registered Agent

Nov 7, 2007  
Date