Electronic Articles of Incorporation For

N06000001702 FILED February 15, 2006 Sec. Of State Ipoole

FINANCIAL INDUSTRY ASSOCIATION INC

The undersigned incorporator, for the purpose of forming a Florida not-forprofit corporation, hereby adopts the following Articles of Incorporation:

Article I

The name of the corporation is:

FINANCIAL INDUSTRY ASSOCIATION INC

Article II

The principal place of business address:

1385 WEST STATE ROAD 434 LONGWOOD, FL. 32750

The mailing address of the corporation is:

1385 WEST STATE ROAD 434 LONGWOOD, FL. 32750

Article III

The specific purpose for which this corporation is organized is:

TRADE ASSOCITAION FOR SMALL BROKER DEALERS UNDER 2500 REGISTERED REPRESENTATIVES, LICENSED SECURITIES REGISTERED, REPRESENTATIVES, AND SEC REGISTERED INVESTMENT ADVISORS.

Article IV

The manner in which directors are elected or appointed is:

AS STATED IN BYLAWS

Article V

The name and Florida street address of the registered agent is:

JW RICHARDS HOLDINGS, INC 1385 WEST STATE ROARD 434 LONGWOOD, FL. 32750 I certify that I am familiar with and accept the responsibilities of registered agent.

Registered Agent Signature: RICHARD L. GOBLE

Article VI

The name and address of the incorporator is:

JW RICHARDS HOLDINGS, INC 1385 WEST STATE ROAD 434

LONGWOOD, FLORIDA 32750

Incorporator Signature: RICHARD L. GOBLE

Article VII

The effective date for this corporation shall be:

02/10/2006

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