

MA900000 2018

LOOP CAPITAL MARKETS

December 2, 1999

Florida Department of State  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

100003063331-6  
-12/07/99--01076--010  
\*\*\*\*125.00 \*\*\*\*125.00

Dear Division of Corporations:

Enclosed you will find a check for \$125 and the necessary information to register Loop Capital Markets as a foreign corporation in the State of Florida. If there are any questions, please contact me at (312) 913-4910.

Sincerely,

*Denean Pillar*

Denean Pillar  
Compliance Manager

Denean Pillar GAVE  
AUTHORIZATION BY PHONE TO  
CORRECT date 1st transacted  
DATE 12-17-99 business  
DOC. EXAM. JB

APPROVED  
AND  
FILED  
99 DEC 14 AM 11:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

~~MA9~~ 28222  
00789 | 00524 | 00608 | 00671

*JB*  
12-17-99



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

December 10, 1999

DENEAN PILLAR  
LOOP CAPITAL MARKETS  
175 W. JACKSON, STE. A635  
CHICAGO, IL 60604

SUBJECT: LOOP CAPITAL MARKETS, LLC  
Ref. Number: W99000028222

We have received your document for LOOP CAPITAL MARKETS, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The member or authorized representative of a member must sign and print their name on the application.

The designation of the registered office and the registered agent, both at the same Florida street address, must be contained within the document pursuant to Florida Statutes. The registered agent must sign accepting the designation as required by Florida Statutes.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6025.

Trevor Brumbley  
Document Specialist

Letter Number: 799A00058196

APPROVED  
AND  
FILED  
99 DEC 14 AM 11:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO  
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Loop Capital Markets L.L.C.  
(Name of foreign limited liability company)
2. Illinois  
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 36-4164012  
(FEI number, if applicable)
4. 4/4/97  
(Date of Organization)
5. 4/2/2047  
(Duration: Year limited liability company will cease to exist or "perpetual")
6. upon qualification  
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 175 W. Jackson - Suite A635  
Chicago, IL 60604  
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☒

9. The usual business addresses of the managing members or managers are as follows:

<u>James Reynolds</u>	<u>175 W. Jackson - Suite A635</u>	<u>Chicago, IL 60604</u>
<u>Albert Grace Jr</u>	"	"
<u>Sandra Reynolds</u>	"	"

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: Investment

Banking, security brokerage & Financial Advisory

Albert R. Grace Jr

Signature of a member or an authorized representative of a member.  
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Albert R. Grace Jr

Typed or printed name of signee

99 DEC 14 AM 11:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA  
AND  
FILED

**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,  
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING  
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE  
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Loop Capital Markets, LLC

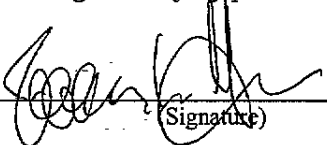
2. The name and the Florida street address of the registered agent and office are:

CT Corporation System  
(Name)

1200 S. Pine Island Rd.  
Florida street address (P.O. Box NOT ACCEPTABLE)

Plantation, FL 33324  
City/State/Zip

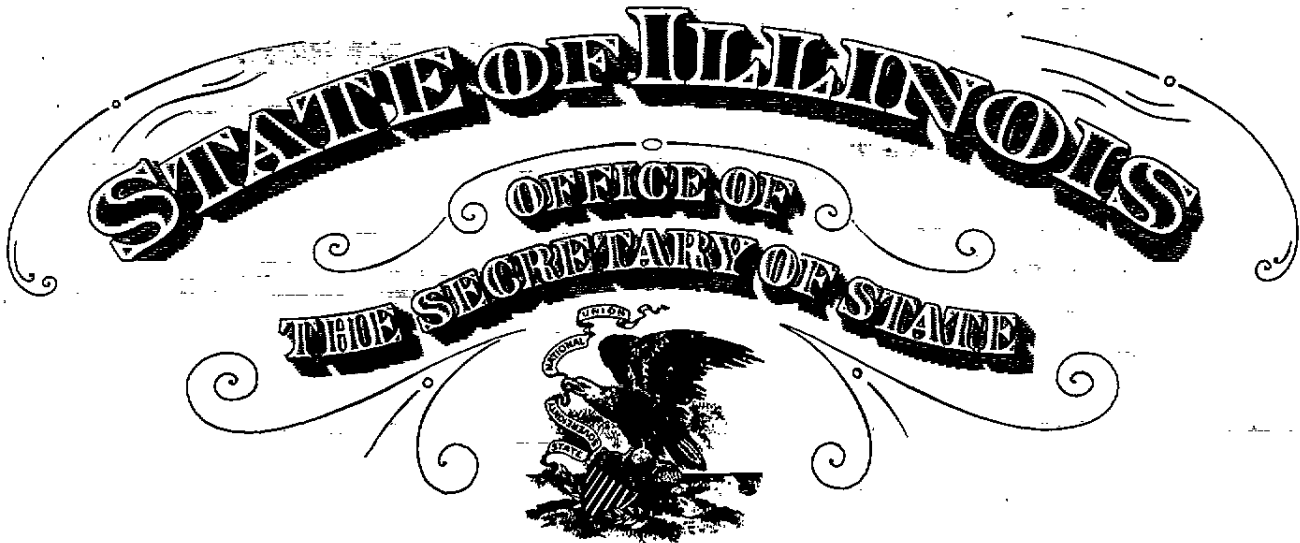
*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S..*

  
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

APPROVED  
AND  
FILED  
99 DEC 14 AM 11:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

File Number 0011180-5



*To all to whom these Presents Shall Come, Greeting:*

*I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that*

LOOP CAPITAL MARKETS L.L.C.,  
HAVING ORGANIZED IN THE STATE OF ILLINOIS ON APRIL 04, 1997,  
APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED  
LIABILITY COMPANY ACT OF THIS STATE RELATING TO THE FILING  
OF THE ARTICLES AND PAYMENT, AND IS ORGANIZED TO TRANSACT  
BUSINESS IN THE STATE OF ILLINOIS.



*In Testimony Whereof, I hereto set*  
*my hand and cause to be affixed the Great Seal of*  
*the State of Illinois, this* 30TH  
*day of* NOVEMBER *1999* A.D.

*Jesse White*

SECRETARY OF STATE

APPROVED  
AND  
FILED  
99 DEC 14 AM 11:30  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA