

m99000000/819

2nd Floor  
Prince Rupert House  
64 Queen Street  
London  
EC4R 1AR

tel +44 (0)20 7246 2800  
fax +44 (0)20 7248 9920  
email info@advance-trading.co.uk

Attention Of :- Registration Section  
Division of Corporations  
PO Box 6327  
Tallahassee  
FL 32314  
United States of America

400003030704--1  
-11/01/99-01084-013  
\*\*\*\*320.00 \*\*\*\*320.00  
125.00

27<sup>th</sup> October 1999  
Our Ref: ACNfdos001

W99-25431

MJH

Dear Sirs,

**Re: Advance Trading (Branch) Limited – Registration of Branch Office.**

I am writing with regard to the registration of a branch office of our London based entity, Advance Trading (Brokers) Limited following our recent request to the United Kingdom Companies House for its subsequent change of name to Advance Trading (Branch) Limited.

In support of this application, please find enclosed the following items of documentation:

- Application for approval of certificate to transact business in Florida
- Certificate of Designation of Registered Agent
- Payment of filing fee, designation fee and appropriate certification fees
- Copy of Certificate of Incorporation (Notarised Certificate of Incorporation on Change of Name will follow in due course)

Advance Trading (Holdings) Limited  
Company Number: 3565386

Advance Trading (Management Services) Limited  
Company Number: 3565366

Advance Trading (Arbitrage) Limited  
Company Number: 3565359

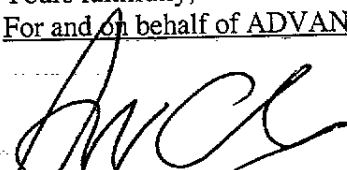
Advance Trading (Options) Limited  
Company Number: 3565333

Advance Trading (Brokers) Limited  
Company Number: 3565333

In order to avoid the delays attributed to the postal service between our two countries, and in further consideration of the urgent nature of this application, I would be extremely grateful if you would deal with this office by facsimile, the number of which is listed above.

In the meantime, I look forward to hearing from you in due course.

Yours faithfully,  
For and on behalf of ADVANCE TRADING GROUP.

  
Alexander W. Cope-Norris.  
Managing Director.

99 NOV 16 PM 2:21  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

November 3, 1999

ALEXANDER W. COPE-NORRIS  
2ND FLOOR, PRINCE RUPERT HOUSE  
64 QUEEN STREET  
LONDON EC4R 1AR,

SUBJECT: ADVANCE TRADING (BRANCH) LIMITED  
Ref. Number: W99000025431

We have received your document for ADVANCE TRADING (BRANCH) LIMITED and your check(s) totaling \$320.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The document is illegible. Please complete the attached application and resubmit, also, the FEI # (Federal Employee Identification Number) must be listed in number 3 of the application.,

The document must have original signatures.

The entity's date of incorporation/organization must be listed in the document.

The entity's period of duration must be listed on the application. Please insert the word "perpetual", if a specific date of dissolution or term of existence has not been specified.

A certificate of existence, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6967.

Michelle Hodges  
Document Specialist

Letter Number: 299A00053223

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. ADVANCE TRADING (BRANCH) LIMITED COMPANY  
(Name of foreign limited liability company)

2. UNITED KINGDOM  
(Jurisdiction under the law of which foreign limited liability company is organized)

3. EIN# 52-2198531  
(FEI number, if applicable)

4. 18TH MAY 1998  
(Date of Organization)

5. PERPETUAL  
(Duration: Year limited liability company will cease to exist or "perpetual")

6. NO BUSINESS YET TRANSACTED  
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))

7. 6325 PRESIDENTIAL COURT SUITE #3  
FORT MYERS FLORIDA FL-33919  
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☐

9. The name and usual business addresses of the managing members or managers are as follows:

2ND FLOOR PRINCE RUPERT HOUSE  
64 QUEEN STREET  
LONDON EC4R 1AR  
UNITED KINGDOM

FILED  
STATE  
SECRETARY OF CORPORATIONS  
DIVISION OF CORPORATIONS  
99 NOV 18 PM 2:21

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: SECURITIES

DEALING AND DERIVATIVES DEALING

Signature of a member or an authorized representative of a member.  
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

ALEXANDER W COPE-MORRIS

Typed or printed name of signer

## CERTIFICATE OF DESIGNATION OF REGISTERED AGENT/REGISTERED OFFICE

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,  
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING  
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE  
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

ADVANCE TRADING (BRANCH) LIMITED

2. The name and the Florida street address of the registered agent and office are:

<u>LAWRENCE NOCEK</u> (Name)	}	<u>LAWRENCE NOCEK</u>
<u>120 ALBATROSS</u>		<u>120 ALBATROSS</u>
<u>FORT MYERS BEACH</u> Florida street address (P.O. Box <u>NOT</u> ACCEPTABLE)		<u>FORT MYERS</u>
<u>FLORIDA</u>	<u>FL 33921</u> City/State/Zip	<u>FLORIDA FL33921</u>

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.

X LN  
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)



## **CERTIFICATE OF INCORPORATION ON CHANGE OF NAME**

Company No. 3565553

The Registrar of Companies for England and Wales hereby certifies that  
ADVANCE TRADING (BROKERS) LIMITED

having by special resolution changed its name, is now incorporated  
under the name of  
ADVANCE TRADING (BRANCH) LIMITED

Given at Companies House, Cardiff, the 10th November 1999



THE OFFICIAL SEAL OF THE  
REGISTRAR OF COMPANIES

