

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
May 22, 2002 8:00 am
Secretary of State

05-22-2002 90202 035 ****50.00

DOCUMENT # M99000001463

1. Entity Name

JANNEY MONTGOMERY SCOTT LLC

Principal Place of Business

**1801 MARKET STREET
 PHILADELPHIA PA 19103**

Mailing Address

**1801 MARKET STREET
 PHILADELPHIA PA 19103**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

23-0731260

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$5.00 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$50.00
Make Check Payable to Department of State
Due By May 1, 2002

9. MANAGING MEMBERS/MANAGERS

10. ADDITIONS/CHANGES

TITLE **MGR** ☐ Delete
 NAME **RUDOLPH CHARLES SANDERS**
 STREET ADDRESS **505 ORIOLE LANE**
 CITY-ST-ZIP **VILLANOVA PA 19085**

TITLE ☐ Change ☐ Addition
 NAME ☐ Change ☐ Addition
 STREET ADDRESS ☐ Change ☐ Addition
 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE **MGR** ☒ Delete
 NAME **CHARLES BECKWITH COOK, JR.**
 STREET ADDRESS **RFD #1-BOX 187**
 CITY-ST-ZIP **CENTER HARBOR NH 03226**

TITLE ☐ Change ☐ Addition
 NAME ☐ Change ☐ Addition
 STREET ADDRESS ☐ Change ☐ Addition
 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE **MGR** ☐ Delete
 NAME **NORMAN TAYLOR WILDE, JR.**
 STREET ADDRESS **306 WOOD SPRING ROAD**
 CITY-ST-ZIP **GWYNEDD VALLEY PA 19437**

TITLE ☐ Change ☐ Addition
 NAME ☐ Change ☐ Addition
 STREET ADDRESS ☐ Change ☐ Addition
 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE **MGR** ☐ Delete
 NAME **THORNTON, RICHARD A**
 STREET ADDRESS **214 BARNSBORO ROAD**
 CITY-ST-ZIP **SEWELL NJ 08080**

TITLE ☐ Change ☐ Addition
 NAME ☐ Change ☐ Addition
 STREET ADDRESS ☐ Change ☐ Addition
 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE **MGR** ☐ Delete
 NAME **DAVID JOHN CUNNINGHAM**
 STREET ADDRESS **519 ANDOVER ROAD**
 CITY-ST-ZIP **WILMINGTON DE 19803**

TITLE ☐ Change ☐ Addition
 NAME ☐ Change ☐ Addition
 STREET ADDRESS ☐ Change ☐ Addition
 CITY-ST-ZIP ☐ Change ☐ Addition

TITLE **MGR** ☐ Delete
 NAME **JOHN JOSEPH GRAY**
 STREET ADDRESS **612 CREEK LANE**
 CITY-ST-ZIP **WILMINGTON DE 19803**

TITLE ☐ Change ☐ Addition
 NAME ☐ Change ☐ Addition
 STREET ADDRESS ☐ Change ☐ Addition
 CITY-ST-ZIP ☐ Change ☐ Addition

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

RICHARD A. THORNTON, SVP & CFO

SIGNATURE:

SIGNATURE REQUIRED

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

Date

Daytime Phone #

4/29/02

(215) 665-6000

CR2E083 (9/01)