

# 2007 LIMITED LIABILITY COMPANY ANNUAL REPORT

2/1

**FILED**  
**Mar 14, 2007 8:00 am**  
**Secretary of State**

02-14-2007 90221 034 \*\*\*\*55.00

**DOCUMENT # M97000000503**

1. Entity Name  
**ALLIANZ LIFE FINANCIAL SERVICES, LLC**



Principal Place of Business  
**5701 GOLDEN HILLS DR  
MINNEAPOLIS, MN 55416-1297**

Mailing Address  
**ATTN: WAYNE PETERSON  
P.O. BOX 1117  
MINNEAPOLIS, MN 55440-1117**

2. Principal Place of Business - No P.O. Box #

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

01032007

Chg-LLC

CR2E083 (12/06)

4. FEI Number  
**NOT APPLICABLE**

Applied For  
☐ Not Applicable

5. Certificate of Status Desired

☒ \$5.00 Additional  
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**Filing Fee is \$50.00  
Due by May 1, 2007**

**Make check payable to  
Florida Department of State**

9. MANAGING MEMBERS/MANAGERS

10. ADDITIONS/CHANGES

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
**MGR  
FARLEY, CATHERINE  
5701 GOLDEN HILLS DR  
MINNEAPOLIS, MN 554161297** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
*refer to attached  
officer list* ☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
**MGR  
AHLES, MICHAEL  
5701 GOLDEN HILLS DR  
MINNEAPOLIS, MN 554161297** ☒ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
**MGR  
PETERSON, WAYNE  
5701 GOLDEN HILLS DR  
MINNEAPOLIS, MN 554161297** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
**MGR  
SCHLESMAN, DAVID  
5701 GOLDEN HILLS DR  
MINNEAPOLIS, MN 554161297** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
**MGR  
WAGNER, JENNIFER  
5701 GOLDEN HILLS DR  
MINNEAPOLIS, MN 554161297** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP  
☐ Change ☐ Addition

11. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company of the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

**SIGNATURE:**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

Date

Daytime Phone #

**3.7.07**

# ATTACHMENT

30002350

#m97000000503

## ALLIANZ LIFE FINANCIAL SERVICES, LLC

(Previously USAllianz Investor Services, LLC – name change was effective 05/01/2006)

(Previously NALAC Financial Plans, LLC – Name change was effective 9/9/99)

Principal Office: 5701 Golden Hills Drive  
Minneapolis, MN 55416

Principal Activity: Broker Dealer Company for Securities

Member: Allianz Life Insurance Company of North America – 100%

State/Date of Incorporation: Minnesota - 11/20/96

Maximum Governors: No Max.

Minimum Governors: 1

### GOVERNORS:

### ELECTED:

Frank Tonnemaker  
Patrick Foley

07/03/06  
07/03/06

### OFFICERS:

### TITLE:

Frank C. Tonnemaker  
Mike Cortese  
Brad Coustan  
Cathy Farley  
Jeff Kletti  
Gregg Mahalich  
Dave Schliesman  
Carol Shaw  
Corey Walther  
Bill Friederichs  
Tom Pistole  
Shelia Willits  
Chris Carey  
Reagan Curl  
Lisa DiFrancesca  
Andrew Farrell  
Lisa Gheen  
Tracy Giffen  
Stewart Gregg  
Jim Johnson  
Gary Kasmirski  
Ben Krakow  
Maria Lindsley

Chief Executive Officer and President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Divisional Vice President  
Divisional Vice President  
Divisional Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President, Secretary  
Vice President  
Vice President  
Vice President  
Vice President